

## Math 4310 First Exam Solutions

1. (20 points) Prove that the set of all real numbers in the interval  $[0, 1]$  with decimal expansions containing only the digits 3 and 5 is uncountable.

**Solution:** The basic technique is just to use Cantor's diagonalization argument. Let  $S$  be the set

$$S = \{0.a_1a_2a_3\cdots \mid a_k \in \{3, 5\} \text{ for all } k\}.$$

Take any countable subset of  $S$ , and call it  $x_1, x_2, x_3, \dots$ . Write each element as  $x_j = 0.a_{j1}a_{j2}a_{j3}\cdots$ , and then construct a matrix

$$\begin{aligned}x_1 &= 0.a_{11}a_{12}a_{13}\cdots \\x_2 &= 0.a_{21}a_{22}a_{23}\cdots \\x_3 &= 0.a_{31}a_{32}a_{33}\cdots \\&\vdots\end{aligned}$$

Now define  $y = 0.b_1b_2b_3\cdots$ , where

$$b_j = \begin{cases} 3 & \text{if } a_{jj} = 5 \\ 5 & \text{if } a_{jj} = 3 \end{cases}.$$

Then  $y$  is a real number, and  $y \neq x_j$  for every  $j$ , since for every  $j$  the  $j^{\text{th}}$  decimal place of  $y$  is different from the  $j^{\text{th}}$  decimal place of  $x_j$ .

So for every countable subset  $E$  of  $S$ , we can find a  $y \notin E$ ; hence  $S$  cannot be countable.

2. (15 points) What are the limit points of the sequence  $x_k = \cos(\pi k/2) + \sin(\pi k/2)$ ,  $k \in \mathbb{N}$ ? What are the limit points of the set  $\{\cos(\pi k/2) + \sin(\pi k/2) \mid k \in \mathbb{N}\}$ ? Explain your answers, using anything we proved in class.

**Solution:** The terms of the sequence are

$$1, -1, -1, 1, 1, -1, -1, 1, 1, \dots$$

The limit points of the sequence are 1 and  $-1$  (since there are obviously subsequences converging to each of these, and the terms cannot approach any other number).

The set is  $\{1, -1\}$ , which has no limit points (no finite set ever has limit points, as we discussed in class).

3. (15 points) If  $\lim_{k \rightarrow \infty} x_k = x$ , prove that  $(x_k)$  is a Cauchy sequence, directly from the definitions.

**Solution:** Let  $\varepsilon > 0$  be any tolerance. By definition of limit, there is an integer  $N \in \mathbb{N}$  such that whenever  $k \geq N$ , we have  $|x_k - x| < \varepsilon/2$ .

Hence as long as  $j \geq N$  and  $k \geq N$ , we will have

$$\begin{aligned} |x_j - x_k| &= |x_j - x + x - x_k| \\ &\leq |x_j - x| + |x_k - x| \\ &< \frac{\varepsilon}{2} + \frac{\varepsilon}{2} \\ &= \varepsilon. \end{aligned}$$

4. (15 points) Suppose  $U$  is an open set in  $\mathbb{R}$  which is bounded above. Prove that  $\sup U$  is not in  $U$ , directly from the definitions.

**Solution:** We know every set which is bounded above has a supremum; let us write  $M = \sup U$ . Assume, to get a contradiction, that  $M$  is in  $U$ . Then since  $U$  is open, there is some open interval  $(a, b)$  containing  $M$  and contained in  $U$ . Let  $x = \frac{M+b}{2}$ . Then  $x \in (a, b)$ , so  $x \in U$ ; thus  $x \leq M$  since  $M$  is an upper bound of  $U$ . However  $x > M$  by construction, and hence we have a contradiction. Thus  $M \notin U$ .

5. (35 points) Let us say that  $y$  is a *schmimit* of a sequence  $x_1, x_2, \dots$  if there exists an  $\varepsilon > 0$  such that, for every positive integer  $N$ , there is some  $k \geq N$  with

$$|y - x_k| < \varepsilon.$$

- (a) (5 points) What is the difference between limit and schmimit?

**Solution:** The number  $y$  is a limit of the sequence  $x_1, x_2, x_3, \dots$  if for every  $\varepsilon > 0$ , there exists an  $N \in \mathbb{N}$  such that for every  $k \geq N$ , we have  $|y - x_k| < \varepsilon$ .

For schmimit, we have switched all of the qualifiers; we only need one  $\varepsilon > 0$  for the tolerance, rather than arbitrarily small  $\varepsilon$ . In addition, instead of requiring that eventually all terms are within  $\varepsilon$  of the limit, we require only that infinitely many terms are within  $\varepsilon$  of the schmimit.

Practically, any sequence with a limit point has all points  $y \in \mathbb{R}$  as schmimit, while any sequence with no limit points will also have no schmimit points.

- (b) (10 points) Prove that if  $(x_k)$  converges to some  $x$ , then every  $y \in \mathbb{R}$  is a schmimit of  $(x_k)$ .

**Solution:** Let  $y \in \mathbb{R}$  be any number. We want  $|y - x_k| < \varepsilon$  for some  $\varepsilon$ ; we can bound the left side by

$$|y - x_k| \leq |y - x| + |x_k - x|.$$

Now choose  $\varepsilon = |y - x| + 1$ . Since  $\lim_{k \rightarrow \infty} x_k = x$ , there is some integer  $N$  such that for every  $k \geq N$ , we have  $|x_k - x| < 1$ . Hence for every  $k \geq N$ , we have

$$|y - x_k| \leq |y - x| + |x_k - x| < |y - x| + 1 = \varepsilon.$$

In particular, for every  $N_1 \in \mathbb{N}$ , we can choose  $k \geq \max\{N_1, N\}$  and we have  $|y - x_k| < \varepsilon$ . Hence  $y$  is a schmimit.

- (c) (10 points) What does it mean to say that  $y$  is not a schmimit of  $(x_k)$ ? (Find the negation and simplify as much as possible.)

**Solution:** The number  $y$  is not a schmimit of  $(x_k)$  if for every  $\varepsilon > 0$ , there exists an  $N \in \mathbb{N}$  such that for every  $k \geq N$ , we have  $|y - x_k| \geq \varepsilon$ .

- (d) (10 points) Find a sequence that has no schmimits. (Give an explicit formula for  $x_k$  and prove that for every  $y$ , the number  $y$  is not a schmimit of  $(x_k)$ .)

**Solution:** Any unbounded sequence with no limit points would work. For example, we can choose  $x_k = k$ . (Note: not every unbounded sequence would work. For example if  $x_k = \frac{1}{k}$  when  $k$  is odd and  $x_k = k$  when  $k$  is even, then  $x_k$  has all points as schmimits. It is essential that no subsequence converges in order to have no schmimits.)

So for example when  $x_k = k$ , let  $y \in \mathbb{R}$ . We will prove  $y$  is not a schmimit, i.e., we will show that for every  $\varepsilon > 0$  there is an  $N \in \mathbb{N}$  so that for every  $k \geq N$ , we have  $|y - k| \geq \varepsilon$ .

So let  $\varepsilon > 0$ . Choose  $N \geq y + \varepsilon$ . Then in particular  $N > y$ , so that for every  $k \geq N$  we also have  $k > y$ . Thus whenever  $k \geq N$ , we have

$$|y - k| = k - y \geq N - y \geq \varepsilon,$$

as desired.