

# A GENERALIZATION OF A FORMULA OF EISENSTEIN

DAVID GRANT

[Received 18 December 1989]

## Introduction

Let  $E$  be the elliptic curve defined by

$$y^2 = x^3 + \frac{1}{4}.$$

Let  $\omega = e^{2\pi i/3}$ . Then  $E$  has complex multiplication by  $\mathbb{Z}[\omega]$ . If  $\rho \equiv 1 \pmod{3}$  is in  $\mathbb{Z}[\omega]$ , then a classic formula states that

$$\prod_{P \in E[\rho]'} x(P) = \frac{1}{\rho^2}, \quad (0.1)$$

where  $E[\rho]'$  denotes the non-zero  $\rho$ -torsion of  $E$ . (Equation (0.1) was probably known to Eisenstein: he published a similar formula. See [1] for a proof of (0.1) and related history.) The automorphism  $x \rightarrow \omega x$ ,  $y \rightarrow y$  acts on  $E[\rho]'$ , and (0.1) gives a non-canonical way to extract a cube root of  $\rho$ . This played a crucial role in Matthews's proof of Cassels's conjecture on the value of the cubic Gauss sum [1, 8].

The purpose of this paper is to produce an analogue of (0.1), relating integers in  $\mathbb{Z}[e^{2\pi i/5}]$  to points on a curve of genus 2. Specifically, let  $C$  be the curve of genus 2 given by

$$y^2 = x^5 + \frac{1}{4}. \quad (0.2)$$

Let  $\infty$  denote the point at infinity on the model (0.2). Then we can embed  $C$  into its Jacobian  $J$  by mapping a point  $P$  on  $C$  to its divisor class  $P - \infty$ . We let  $\Theta$  denote its image, a theta divisor. In [4] (and (1.3)) we describe a function  $X$  on  $J$ , whose divisor of zeros we denote by  $(X)_0$ . Let  $\zeta = e^{2\pi i/5}$ . Then the automorphism

$$x \rightarrow \zeta x, \quad y \rightarrow y$$

of  $C$  extends to give an embedding of  $\mathbb{Z}[\zeta]$  into  $\text{End}(J)$ . If  $\alpha \in \mathbb{Z}[\zeta]$ , and  $D$  is a divisor on  $J$ , we let  $(\alpha)^{-1}D$  denote the inverse image of  $D$  under  $\alpha$  in the Picard group of  $J$ . Our main result is:

**THEOREM.** *Let  $\beta \equiv \pm 1 \pmod{(1 - \zeta)^2}$  in  $\mathbb{Z}[\zeta]$ . Then*

$$\prod_{\substack{z \in \Theta \cap (\beta\sigma^{-1}(\beta))^{-1}(X)_0 \\ z \notin J[2]}} x(z) = \frac{1}{(\beta\sigma\beta)^2},$$

where  $\sigma \in \text{Gal}(\mathbb{Q}(\zeta)/\mathbb{Q})$  maps  $\zeta$  to  $\zeta^2$ , and we identify a point  $z \in \Theta$  with  $(x(z), y(z))$  on  $C$ .

Eisenstein's formula is a special case of a general phenomenon for elliptic units, which play a central role in the study of elliptic curves with complex multiplication and the arithmetic of imaginary quadratic fields [2, 3, 9, 10, 11, 12, 13, 14].

This represents the first time classes of  $S$ -units have been produced from special values of functions defined on a curve of genus 2. There are similar units which can be constructed from general curves of genus 2: we hope to discuss them in a future paper. It remains to be seen what relationship they might have to the arithmetic of such curves.

REMARKS. (1) In [4] we showed that there are functions  $t_1, t_2$  on  $J$ , which for all primes  $p$  of  $\mathbb{Z}[\zeta]$  not dividing 2, are parameters for the formal group on the kernel of reduction  $J_0(p)$  of  $J \bmod p$ . The divisor of zeros of  $t_1$  contains  $\Theta$  as a component, and the divisor of zeros of  $t_2$  is  $(X)_0$ . It follows from standard properties of formal groups that the  $x(z)$  in the product are integral outside primes dividing  $2\beta\sigma(\beta)$ . Likewise, since  $(0, \pm\frac{1}{2})$  are  $(1 - \zeta)$ -torsion on  $J$ ,  $x(z)$  is not divisible by any primes not dividing 10.

(2) The theorem gives a non-canonical way to extract a fifth root of  $\beta\sigma(\beta)$ . There should be some way to relate a fifth root to the value of the quintic Gauss sum.

(3) By evaluating functions on  $J$  at torsion points, Kubota obtained a formula expressing  $\beta(\sigma(\beta))^3$  up to a fifth power [5].

Sections 1 and 2 give preliminary information on the geometry of  $J$  and the action of  $\mathbb{Z}[\zeta]$  on divisors on  $J$ . Section 3 contains a somewhat messy induction based on the proof of (0.1) in [1]. The proof of the theorem is completed in the last section.

*Acknowledgements.* This work was undertaken while the author was supported by a NATO postdoctoral fellowship and was enjoying the hospitality of Cambridge University. I would like to thank J. Coates for many useful discussions and suggestions. I am indebted to C. R. Matthews, who not only suggested this problem to me, but also provided continued encouragement.

### 1. Functions on $C$ and $J$

Recall that we have identified  $C$  with its image  $\Theta$  under the map

$$P \rightarrow \text{Cl}(P - \infty),$$

where  $\text{Cl}$  is the divisor class map into the Picard group  $\text{Pic}(C)$ . Let  $U$  be the open set  $J - \Theta$ . Then every point on  $U$  has a unique representative in  $\text{Pic}(C)$  of the form

$$(x_1, y_1) + (x_2, y_2) - 2\infty \quad (y_2 \neq y_1 \text{ if } x_1 = x_2),$$

and functions on  $J$  can be written as symmetric functions in  $x_1, x_2, y_1, y_2$ . For the basic facts about  $J$  and its analytic parameterization, we refer the reader to [4]. We will freely use results of that paper.

Given an ordering of the Weierstrass points of  $C$ , there is a standard way to pick a symplectic basis of  $H_1(C, \mathbb{Z})$ . Integrating the holomorphic differentials  $dx/2y, x dx/2y$  over this basis gives rise to a period lattice  $L$ , and gives us an analytic isomorphism  $\Phi: J \rightarrow \mathbb{C}^2/L$  via

$$P_1 + P_2 - 2\infty \xrightarrow{\Phi} \int_{\infty}^{P_1} \frac{dx}{2y}, \int_{\infty}^{P_2} \frac{x dx}{2y} \pmod{L},$$

where the  $P_i = (x_i, y_i)$  or  $\infty$  are points on  $C$ . So if  $z = (z_1, z_2) = \Phi(P_1 + P_2 - 2\infty)$ , we see that  $\zeta$  maps  $(z_1, z_2) \rightarrow (\zeta z_1, \zeta^2 z_2)$ . Hence  $J$  has CM-type  $(1, \sigma)$ , where  $\sigma \in \text{Gal}(\mathbb{Q}(\zeta)/\mathbb{Q})$  maps  $\zeta \rightarrow \zeta^2$ . These choices also determine a sigma-function  $\sigma(z)$ , which is analytic and odd on  $\mathbb{C}^2$ , has a zero of order 1 precisely along the pullback of  $\Theta$  to  $\mathbb{C}^2$ , and has no other zeros. Associated with  $\sigma$  is its alternating Riemann form  $E$ , defined by the quasi-periodicity of  $\sigma$ . Specifically, there is a linear form  $F(z, l)$  such that

$$\sigma(z + l) = \sigma(z)e^{2\pi i(F(z,l)+c(l))},$$

for every  $l \in L$ , where  $c(l)$  is independent of  $z$ , and we set

$$E(z, l) = F(z, l) - F(l, z). \tag{1.1}$$

This quasi-periodicity shows that

$$X_{ij}(z) = -\frac{d}{dz_i} \frac{d}{dz_j} \log \sigma(z), \tag{1.2}$$

and

$$X_{ijk}(z) = \frac{1}{2} \frac{d}{dz_k} X_{ij}(z),$$

are functions on  $J$ , regular on  $U$ . From [4] it follows that

$$X_{11}(z) = \frac{(x_1 + x_2)(x_1 x_2)^2 + \frac{1}{2} - 2y_1 y_2}{(x_1 - x_2)^2}, \tag{1.3}$$

$$X_{12}(z) = -x_1 x_2,$$

$$X_{22}(z) = x_1 + x_2,$$

$$X(z) = \frac{1}{2}(X_{11}(z)X_{22}(z) - X_{12}^2(z)) = \frac{2(x_1 x_2)^3 + \frac{1}{4}(x_1 + x_2) - (x_1 + x_2)y_1 y_2}{(x_1 - x_2)^2},$$

$$X_{111}(z) = \frac{y_2(1 + 3x_1^4 x_2 + x_1^3 x_2^2) - y_1(1 + 3x_1 x_2^4 + x_1^2 x_2^3)}{(x_1 - x_2)^3},$$

$$X_{222}(z) = \frac{y_1 - y_2}{x_1 - x_2}.$$

We also have the Taylor expansions

$$\sigma(z) = z_1 - \frac{1}{3}z_2^3 + (d^0 \geq 5), \tag{1.4}$$

$$\sigma^2(z)X_{11}(z) = 1 + (d^0 \geq 4),$$

$$\sigma^2(z)X_{12}(z) = -z_2^2 + (d^0 \geq 4),$$

$$\sigma^2(z)X_{22}(z) = 2z_1 z_2 + (d^0 \geq 4),$$

$$\sigma^3(z)X(z) = z_2 + (d^0 \geq 3),$$

$$\sigma^3(z)X_{111}(z) = -1 + (d^0 \geq 2),$$

$$\sigma^3(z)X_{222}(z) = z_1^2 + (d^0 \geq 4),$$

where  $(d^0 \geq n)$  denotes a power series, all of whose terms have degree at least  $n$ .

On  $\Theta$ ,  $\sigma(z) = 0$ , so by the implicit function theorem and (1.4),

$$z_1 = \frac{1}{3}z_2^3 + (d^0 \geq 5). \tag{1.5}$$

If we set  $\sigma_i(z) = d\sigma(z)/dz_i$ , then from (1.4),

$$\begin{aligned}\sigma_1(z) &= 1 + (d^0 \geq 2), \\ \sigma_2(z) &= -z_2^2 + (d^0 \geq 4).\end{aligned}$$

So for  $z \in \Theta$ , it follows from (1.2) and (1.3) that

$$x(z) = \frac{x_1 x_2}{x_1 + x_2} \Big|_{\Theta} = \frac{-X_{12}(z)}{X_{22}(z)} = \frac{-\sigma_1(z)\sigma_2(z)}{\sigma_2(z)^2} = \frac{-\sigma_1(z)}{\sigma_2(z)} = \frac{1}{z_2^2} + \dots$$

and

$$y(z) = \frac{(x_1 + x_2)y_1 y_2 - \frac{1}{4}(x_1 + x_2) - 2(x_1 x_2)^3}{(y_1 - y_2)(x_1 - x_2)} \Big|_{\Theta} = \frac{-X(z)}{X_{222}(z)} = \frac{-z_2 + \dots}{(-\sigma_2(z))^3} = \frac{-1}{z_2^5} + \dots$$

Note that  $x(z)$  and  $y(z)$  generate the ring of functions on  $\Theta$  regular away from the origin  $O$ . In particular,  $\sigma_2(z) = 0$  only when  $z = O$ .

We will also need the formula of Baker [4]: for  $u, v, u + v, u - v \in U$ ,

$$\frac{\sigma(u+v)\sigma(u-v)}{\sigma(u)^2\sigma(v)^2} = X_{11}(v) - X_{11}(u) + X_{12}(v)X_{22}(u) - X_{12}(u)X_{22}(v). \quad (1.6)$$

Multiplying by  $\sigma^2(v)/\sigma_2^2(v)$  shows that for  $v \in \Theta, v \neq O$ ,

$$\frac{\sigma(u+v)\sigma(u-v)}{\sigma(u)^2\sigma_2(v)^2} = x(v)^2 - x(v)X_{22}(u) - X_{12}(u). \quad (1.7)$$

Let  $P = (0, \frac{1}{2}) - \infty \in \Theta$ . Then  $\zeta P = P$ , so  $P$  is  $(1 - \zeta)$ -torsion on  $J$ . Note that for  $\alpha \in \mathbb{Z}[\zeta]$ ,  $\alpha P \in \Theta$  precisely when  $\alpha \equiv -1, 0, 1 \pmod{1 - \zeta}$ . We see immediately from (1.3) that  $X_{12}(2P) = X_{22}(2P) = 0$ . Less immediately, we calculate that

$$X_{222}(2P) = \frac{(y_1 - y_2)(y_1 + y_2)}{(x_1 - x_2)(y_1 + y_2)} \Big|_{2P} = \frac{x_1^4 + x_1^3 x_2 + x_1^2 x_2^2 + x_1 x_2^3 + x_2^4}{y_1 + y_2} \Big|_{2P} = 0,$$

and that

$$X_{11}(2P) = X_{222}^2(2P) - X_{22}^3(2P) - X_{12}(2P)X_{22}(2P) = 0.$$

So plugging  $v = 2P$  into (1.6) yields

$$\frac{\sigma(u+2P)\sigma(u-2P)}{\sigma(u)^2\sigma(2P)^2} = -X_{11}(u), \quad (1.8)$$

and substituting  $v = P$  into (1.7) gives

$$\frac{\sigma(u+P)\sigma(u-P)}{\sigma(u)^2\sigma_2(P)^2} = -X_{12}(u). \quad (1.9)$$

**PROPOSITION 1.** *Let*

$$W(z) = \frac{1}{2} \frac{\sigma(z+P)\sigma(z+2P)\sigma(z-3P) + \sigma(z-P)\sigma(z-2P)\sigma(z+3P)}{\sigma(z)^3\sigma_2(P)\sigma(2P)\sigma(-3P)}.$$

*Then*  $W(z) = X(z)$ .

*Proof.* First we note that  $W(z)$  is a function on  $J$  by the quasi-periodicity of  $\sigma(z)$ . Since  $\sigma$  is odd,  $W$  is even, and has a pole of order at most 3 along  $\Theta$ , and

no other poles. By the results in [4], we know that the space of such functions is spanned by  $X, X_{11}, X_{12}, X_{22}, 1$ , so

$$W = \alpha X + \beta X_{11} + \gamma X_{12} + \delta X_{22} + \varepsilon,$$

for constants  $\alpha, \beta, \gamma, \delta, \varepsilon$ . But  $W(2P) = 0$ , and

$$X(2P) = \frac{1}{2}(X_{11}(2P)X_{22}(2P) - X_{12}^2(2P)) = 0,$$

so  $\varepsilon = 0$ . We compute the Taylor series

$$\begin{aligned} \sigma^3(z)W(z) &= \frac{(\sigma_1(P)z_1 + \sigma_2(P)z_2)(\sigma(2P))(\sigma(-3P))}{\sigma_2(P)\sigma(2P)\sigma(-3P)} + (d^0 \geq 3) \\ &= z_2 + (d^0 \geq 3), \end{aligned}$$

since  $0 = x(P) = -\sigma_1(P)/\sigma_2(P)$ . Comparing with (1.4) gives  $\alpha = 1$  and  $\beta = 0$ , so

$$W = X + \gamma X_{12} + \delta X_{22}.$$

Let  $(x_0, y_0)$  be a variable point on  $C$ , and set  $z = P + (x_0, y_0) - \infty$ . Then  $W(z) = 0$  precisely when  $z + P, z + 2P$ , or  $z - 3P$  is on  $\Theta$ , which happens only when  $(x_0, y_0) = (0, \pm \frac{1}{2})$ . But  $X_{12}(z) = 0$ , and

$$\begin{aligned} X(z) + \gamma X_{12}(z) + \delta X_{22}(z) &= \frac{\frac{1}{4}x_0 - x_0(y_0/2)}{x_0^2} + \delta x_0 \\ &= \frac{\frac{1}{4} - (y_0/2) + \delta x_0^2}{x_0}, \end{aligned}$$

which has a zero when  $x_0^3 = 4\delta^2 x_0^2 + 2\delta$ . Therefore  $\delta = 0$ , and

$$W = X + \gamma X_{12}.$$

Likewise, if  $z = 2P + (x_0, y_0) - \infty$ , then  $W(z) = 0$  precisely when  $z + P, z + 2P$ , or  $z - 3P$  is on  $\Theta$ , which happens only when  $(x_0, y_0) = (0, \frac{1}{2})$ . On the other hand,  $X_{11}(z) = 0$ , and hence

$$X(z) + \gamma X_{12}(z) = -\frac{1}{2}X_{12}^2(z) + \gamma X_{12}(z) = X_{12}(z)(\gamma - \frac{1}{2}X_{12}(z)).$$

Note that the function

$$y - ((y_0 - \frac{1}{2})/x_0^2)x^2 - \frac{1}{2}$$

on  $C$  has a pole of order 5 at  $\infty$ , and zeros of order 1 at  $(x_0, y_0)$  and 2 at  $P$ . So from the group law on  $J$ ,

$$X_{12}(z) = (\frac{1}{2} - y_0)/(x_0^3),$$

and  $\gamma - \frac{1}{2}X_{12}(z) = 0$  when  $4\gamma^2 x_0^3 - x_0^2 - 2\gamma = 0$ . Therefore  $\gamma = 0$ , and  $W = X$ .

## 2. Actions of $\mathbb{Z}[\zeta]$ on divisors

Let  $\text{Pic}(J)$  denote the Picard group of divisors on  $J$  modulo linear equivalence, and  $\text{NS}(J)$  the Néron–Severi group of divisors modulo algebraic equivalence. If two divisors  $D_1$  and  $D_2$  are algebraically equivalent, we write  $D_1 \approx D_2$ ; if they are linearly equivalent, we write  $D_1 \sim D_2$ . If  $D \in \text{Pic}(J)$  and  $\alpha \in \text{End}(J)$ , we let  $(\alpha)^{-1}D$  denote the inverse image of  $D$  under  $\alpha$  in  $\text{Pic}(J)$ .

The complex multiplication of  $J$  forces the alternating Riemann form  $E_\Theta(z, l) = E(z, l)$  defined by (1.1) to have a particularly nice form. Indeed, if we

consider  $K = \mathbb{Q}(\zeta)$  embedded in  $\mathbb{C}^2$  via  $\alpha \rightarrow (\alpha, \sigma\alpha)$ , then there exists a  $\xi \in K$  such that

$$E_{\Theta}(z, l) = \text{Tr}_{K/\mathbb{Q}}(\xi \bar{z} l) \quad \text{whenever } z, l \in K,$$

and where  $\bar{z}$  denotes the complex conjugate of  $z$ . This suffices to determine  $E_{\Theta}$ , since it is  $\mathbb{R}$ -bilinear. There is a unique alternating Riemann form  $E_D$  associated to any divisor  $D$  in  $\text{NS}(J)$ , and in [6], it is shown that for any  $\alpha \in \mathbb{Z}[\zeta]$ ,

$$E_{(\alpha)^{-1}\Theta}(z, l) = \text{Tr}_{K/\mathbb{Q}}(\alpha \bar{\alpha} \xi \bar{z} l), \quad (2.1)$$

and so if  $\alpha = \beta\sigma^{-1}(\beta)$  for some  $\beta \in \mathbb{Z}[\zeta]$ , then

$$E_{(\beta\sigma^{-1}(\beta))^{-1}\Theta} = \mathbb{N}_{K/\mathbb{Q}}(\beta)E. \quad (2.2)$$

Here the addition of Riemann forms corresponds to the addition of the corresponding divisors in  $\text{NS}(J)$ .

Let  $\varepsilon = \frac{1}{2}(1 + \sqrt{5}) = \zeta + \zeta^{-1} + 1$ . Then  $\varepsilon$  is a fundamental unit of  $\mathbb{Z}[\zeta]$ . Since  $\varepsilon^2 = \varepsilon + 1$ , we have  $\varepsilon^4 = 3\varepsilon^2 - 1$ , so by (2.1),

$$E_{(\varepsilon^2)^{-1}\Theta}(z, l) = \text{Tr}_{K/\mathbb{Q}}((3\varepsilon^2 - 1)\bar{z}l\xi) = 3E_{(\varepsilon)^{-1}\Theta} - E_{\Theta}. \quad (2.3)$$

In fact these relations hold in  $\text{Pic}(J)$ :

LEMMA 1. *If  $D \approx 0$ , and  $(\pm \zeta^i)^{-1}D \sim D$ , then  $D \sim 0$ .*

*Proof.* If  $D \approx 0$ , then since  $\Theta$  is a principal polarization,  $D \sim \Theta_u - \Theta$ , where  $\Theta_u$  is the translate of  $\Theta$  by a unique  $u \in J$ . But since  $(\pm \zeta^i)^{-1}\Theta = \Theta$ , we get  $-\Theta_u \sim \Theta_u$ , and  $(\zeta)^{-1}\Theta_u \sim \Theta_u$ ; which means  $u$  is both 2-torsion and  $(1 - \zeta)$ -torsion on  $J$ . Therefore  $u = O$  and  $D \sim 0$ .

Since  $(\pm \zeta^i)^{-1}(\alpha)^{-1}\Theta = (\alpha)^{-1}\Theta$ , we get as an immediate corollary to the lemma that (2.2) and (2.3) imply:

$$(\beta\sigma^{-1}(\beta))^{-1}\Theta \sim \mathbb{N}_{K/\mathbb{Q}}(\beta)\Theta, \quad (2.4)$$

and

$$(\varepsilon^2)^{-1}\Theta \sim 3(\varepsilon)^{-1}\Theta - \Theta.$$

In general [7], for any  $D$  in  $\text{NS}(J)$  we have

$$(\alpha - \beta)^{-1}D + (\alpha + \beta)^{-1}D \approx 2(\alpha)^{-1}D + 2(\beta)^{-1}D \quad (\alpha, \beta \in \text{End}(J)),$$

so Lemma 1 implies that

$$(\alpha + \beta)^{-1}\Theta + (\alpha - \beta)^{-1}\Theta \sim 2(\alpha)^{-1}\Theta + 2(\beta)^{-1}\Theta, \quad (2.5)$$

and that

$$(\alpha + 2)^{-1}\Theta + (\alpha + 1)^{-1}\Theta + (\alpha - 3)^{-1}\Theta \sim 3(\alpha)^{-1}\Theta + 14\Theta. \quad (2.6)$$

We want to compute  $(\alpha)^{-1}\Theta$  for any  $\alpha \in \mathbb{Z}[\zeta]$ . Indeed, since  $\Theta$  gives a principal polarization, we can use the formula [7]

$$\left(\sum m_i \alpha_i\right)^{-1}\Theta \sim \frac{1}{2} \sum m_i m_j D_{\Theta}(\alpha_i, \alpha_j), \quad (2.7)$$

where  $D_{\Theta}(\alpha_i, \alpha_j) = (\alpha_i + \alpha_j)^{-1}\Theta - (\alpha_i)^{-1}\Theta - (\alpha_j)^{-1}\Theta$ .

PROPOSITION 2. Let  $\alpha = a + b\varepsilon + c\xi + d\xi\varepsilon \in \mathbb{Z}[\xi]$ . Then

$$(\alpha)^{-1}\Theta \sim n_\alpha\Theta + m_\alpha(\varepsilon)^{-1}\Theta,$$

where

$$n_\alpha = a^2 + c^2 - 2ab - 2ac + ad + bc - bd - 2cd,$$

and

$$m_\alpha = b^2 + d^2 + 2ab + ac + bd + 2cd.$$

*Proof.* We will first write  $\alpha = \sum_{i=1}^4 m_i \xi^{i-1}$ . Since  $(\pm \xi^i)^{-1}\Theta \sim \Theta$ ,

$$(i) \quad D_\Theta(\xi^i, \xi^i) = (2\xi)^{-1}\Theta - 2(\xi)^{-1}\Theta \sim 2\Theta \quad \text{by (2.5),}$$

$$(ii) \quad D_\Theta(\xi, \xi^2) = D_\Theta(\xi^2, \xi^3) = D_\Theta(1, \xi) = (1 + \xi)^{-1}\Theta - (\xi)^{-1}\Theta - \Theta \\ = (-\xi^2 - \xi^3)^{-1}\Theta - 2\Theta = (\varepsilon)^{-1}\Theta - 2\Theta,$$

and

$$(iii) \quad D_\Theta(1, \xi^2) = D_\Theta(1, \xi^3) = D_\Theta(\xi, \xi^3) \\ = (\xi + \xi^4)^{-1}\Theta - (\xi)^{-1}\Theta - (\xi^4)^{-1}\Theta = (\varepsilon - 1)^{-1}\Theta - 2\Theta.$$

Note that, by (2.4) and (2.5),

$$(\varepsilon - 1)^{-1}\Theta = 2(\varepsilon)^{-1}\Theta + 2\Theta - (\varepsilon^2)^{-1}\Theta \sim 3\Theta - (\varepsilon)^{-1}\Theta.$$

So piecing together (i), (ii) and (iii) and using (2.7) yields

$$\left( \sum_{i=1}^4 m_i \xi^{i-1} \right)^{-1} \Theta = (m_1^2 + m_2^2 + m_3^2 + m_4^2 + m_1 m_3 + m_1 m_4 \\ + m_2 m_4 - 2m_1 m_2 - 2m_2 m_3 - 2m_3 m_4) \Theta \\ + (m_1 m_2 + m_2 m_3 + m_3 m_4 - m_1 m_3 - m_1 m_4 - m_2 m_4) (\varepsilon)^{-1} \Theta.$$

The proposition follows immediately from setting

$$m_1 = a + d, \quad m_2 = c + d, \quad m_3 = d - b, \quad \text{and} \quad m_4 = -b.$$

### 3. The induction

In the last section we showed that for any  $\alpha \in \mathbb{Z}[\xi]$ , there are integers  $n_\alpha, m_\alpha$  such that

$$\phi_\alpha = \frac{\sigma(\alpha z)}{\sigma(z)^{n_\alpha} (-\sigma(\varepsilon z))^{m_\alpha}}$$

has the divisor of a function on  $J$ . *A priori*,  $\phi_\alpha(z)$  might differ from a function on  $J$  by multiplication by a trivial theta function  $e^{Q(z) + \Lambda(z) + \Gamma}$ , where  $Q(z)$  and  $\Lambda(z)$  are quadratic and linear forms in  $z$ , and  $\Gamma$  is a constant. Likewise,  $\sigma(z)$  and  $\sigma(\xi z)$  differ by multiplication by  $e^{q(z) + \lambda(z) + \gamma}$  where  $q, \lambda$ , and  $\gamma$  are quadratic, linear, and constant, respectively. Since  $\sigma$  is odd,  $\Lambda = \lambda = 0$ . Since  $X_{11}, X_{12}, X_{22}$  are all eigenfunctions for the action of  $\xi, q = 0$ , and comparing Taylor expansions shows that  $\sigma(\xi z) = \xi \sigma(z)$ . Applying this to  $\phi(\xi z)/\phi(z)$  shows that  $Q = 0$ , so  $\phi_\alpha(z)$  is a function on  $J$ . This function has a pole on  $\Theta$ , but if we set  $\psi_\alpha = \phi_\alpha / X_{22}^{(n_\alpha)/2}$  when  $n_\alpha$  is even, and  $\psi_\alpha = \phi_\alpha / X_{22}^{(n_\alpha-3)/2}$  when  $n_\alpha$  is odd, we find for  $z \in \Theta$  that

$$\psi_\alpha(z) = \frac{\sigma(\alpha z)}{\sigma_2(z)^{n_\alpha} (-\sigma(\varepsilon z))^{m_\alpha}} \tag{3.1}$$

is a function on  $\Theta$ . We will take (3.1) as the definition of  $\psi_\alpha(z)$ , and think of it as a function on  $C$ . Note that for  $z$  on  $\Theta$ ,  $\varepsilon z \in \Theta$  only when  $(\zeta^2 + \zeta^3)z \in \Theta$ , which by the results of § 1, is when  $z = O$ . Likewise  $\sigma_2(z) = 0$  precisely when  $z = O$ . Therefore, by (1.4) and (1.5),

$$\psi_\alpha(z) = \frac{\frac{1}{3}(\alpha - \sigma(\alpha)^3)z^3 + \dots}{(-z^2)^{n_\alpha}(-\frac{1}{3}(\varepsilon - \sigma(\varepsilon)^3)z^3)^{m_\alpha}} = \frac{\frac{1}{3}(\alpha - \sigma(\alpha)^3)}{(-1)^{n_\alpha}(\sigma(\varepsilon))^{m_\alpha}} z^{3-2n_\alpha-3m_\alpha} + \dots \quad (3.2)$$

is the Taylor expansion of a polynomial in  $x$  and  $y$ . Recall that

$$\alpha P = \alpha((0, \frac{1}{2}) - \infty) \in \Theta$$

precisely when  $\alpha \equiv -1, 0, 1 \pmod{1 - \zeta}$ .

LEMMA 2.

- (a)  $\psi_{\pm \zeta^i \alpha} = \pm \zeta^i \psi_\alpha$ , for  $i \in \mathbb{Z}$ .
- (b)  $\psi_2(P) = 1$ .
- (c)  $\psi_\varepsilon = -1$ .
- (d)  $\psi_{\varepsilon-1} = 1$ .
- (e)  $\psi_3(P) = -1$ .
- (f)  $\psi_{1+\zeta^i} = \zeta^{3i}$ , for  $i \in \mathbb{Z}$ .
- (g)  $\psi_{2+\zeta^i}(P) = -\zeta^{2i}$ , for  $i \in \mathbb{Z}$ .

*Proof.* (a) Since  $(\pm \zeta^i)(\alpha)^{-1}\Theta = (\alpha)^{-1}(\Theta)$ , we see from the expansion (1.4) and from the CM-type that  $\psi_{\pm \zeta^i \alpha} / \psi_\alpha = \sigma(\pm \zeta^i \alpha) / \sigma(\alpha) = \pm \zeta^i$ .

(b) By Proposition 2,  $\psi_2(z) = \sigma(2z) / \sigma_2(z)^4 = (-2/z^5) + \dots$  by (3.2). Since  $\psi_2(z)$  is a polynomial in  $x$  and  $y$ ,  $\psi_2(z) = 2y$ . Hence  $\psi_2(P) = 1$ .

(c) By Proposition 2,  $\psi_\varepsilon(z) = \sigma(\varepsilon z) / -\sigma(\varepsilon z) = -1$ .

(d) By Proposition 2,  $\psi_{\varepsilon-1}(z) = \sigma((\varepsilon-1)z) / \sigma_2(z)^3 = 1 + \dots$  by (3.2). Since it is a polynomial in  $x$  and  $y$ ,  $\psi_{\varepsilon-1}(z) = 1$ .

(e) By Proposition 2,  $\psi_3(z) = \sigma(3z) / \sigma_2(z)^9 = (8/z^{15}) + \dots$  by (3.2). Since for  $z \in \Theta$ ,  $3z \in \Theta$  only when  $z \in J[2]$ , we have  $\psi_3(z) = (\text{constant})y \prod_{j=1}^5 (x - a_j)^{n_j}$  where  $n_j \in \mathbb{Z}^+$ , and  $a_j$  is a root of  $x^5 + \frac{1}{4} = 0$ . Since the divisor of  $\psi_3(z)$  is invariant under the action of  $\zeta$ , we must have  $\psi_3(z) = -8y^3$ , which is  $-1$  when  $y = \frac{1}{2}$ .

(f) When  $i \equiv 0 \pmod{5}$ , this is just (b). When  $i \equiv 1 \pmod{5}$  we compute

$$\psi_{1+\zeta} = -\zeta^3 \psi_{-\zeta^2-\zeta^3} = -\zeta^3 \psi_\varepsilon = \zeta^3$$

by (a) and (c). Likewise when  $i \equiv 2 \pmod{5}$ ,

$$\psi_{1+\zeta^2} = \zeta \psi_{\zeta+\zeta^4} = \zeta \psi_{\varepsilon-1} = \zeta$$

by (a) and (d). Finally, for  $i \equiv 3$  or  $4 \pmod{5}$ , we use (a) and the fact that  $1 + \zeta^3 = \zeta^3(1 + \zeta^2)$  and that  $(1 + \zeta^4) = \zeta^4(1 + \zeta)$ .

(g) When  $i \equiv 0 \pmod{5}$ , this is just (e). For  $i \equiv 1, 4 \pmod{5}$ , we find by Proposition 2 that

$$\psi_{2+\zeta^i}(z) = \frac{\sigma((2 + \zeta^i)z)}{\sigma_2(z)(-\sigma(\varepsilon z))^2} = \frac{2\zeta^{2i}}{z^5} + \dots \quad \text{by (3.2).}$$

So  $\psi_{2+\zeta^i}(z) = -2\zeta^{2i}y$ , which is  $-\zeta^{2i}$  when  $y = \frac{1}{2}$ . For  $i \equiv 2, 3 \pmod{5}$ , we find by Proposition 2 that

$$\psi_{2+\zeta^i}(z) = \frac{\sigma((2 + \zeta^i)z)(-\sigma(\varepsilon z))^2}{(\sigma_2(z))^7} = \frac{2\zeta^{2i}}{z^5} + \dots \quad \text{by (3.2).}$$

Again  $\psi_{2+\zeta^i}(P) = -\zeta^{2i}$ .



LEMMA 3. *Suppose  $\alpha \equiv 2 \pmod{1 - \zeta}$ . Then for all  $i \in \mathbb{Z}$ ,*

$$\frac{\psi_{\alpha+(1-\zeta^i)}(P)\psi_{\alpha-(1-\zeta^i)}(P)}{\psi_\alpha^2(P)} = -\psi_{3-\zeta^i}(P)\psi_{-1-\zeta^i}(P).$$

*Proof.* Assume for the moment only that  $\alpha, \beta \in \mathbb{Z}[\zeta]$ . Then for  $z \in \Theta$ , (2.5) and (1.6) imply that

$$\begin{aligned} \frac{\psi_{\alpha+\beta}(z)\psi_{\alpha-\beta}(z)}{\psi_\alpha^2(z)\psi_\beta^2(z)} &= \frac{\sigma((\alpha+\beta)z)\sigma((\alpha-\beta)z)}{\sigma(\alpha z)^2\sigma(\beta z)^2} \\ &= X_{11}(\beta z) - X_{11}(\alpha z) + X_{12}(\beta z)X_{22}(\alpha z) - X_{12}(\alpha z)X_{22}(\beta z). \end{aligned}$$

Using this three times we get

$$\begin{aligned} &\frac{\psi_{\alpha+\beta}(z)\psi_{\alpha-\beta}(z)}{\psi_\alpha^2(z)\psi_\beta^2(z)} - \frac{\psi_{\alpha+2}(z)\psi_{\alpha-2}(z)}{\psi_\alpha^2(z)\psi_2^2(z)} + \frac{\psi_{\beta+2}(z)\psi_{\beta-2}(z)}{\psi_\beta^2(z)\psi_2^2(z)} \\ &= X_{12}(\beta z)X_{22}(\alpha z) - X_{12}(\alpha z)X_{22}(\beta z) \\ &\quad + X_{12}(\alpha z)X_{22}(2z) - X_{12}(2z)X_{22}(\alpha z) \\ &\quad + X_{12}(2z)X_{22}(\beta z) - X_{12}(\beta z)X_{22}(2z). \end{aligned} \tag{3.3}$$

Multiplying (3.3) by  $\psi_\alpha^2(z)\psi_\beta^2(z)$  gives a function which is regular at  $z = P$ . Using (1.2), we see that the right-hand side is zero at  $P$  if  $\beta \equiv 0 \pmod{1 - \zeta}$ , since  $X_{12}(2P) = X_{22}(2P) = 0$  and  $\sigma(\beta P) = \sigma_2(\beta P) = 0$ . Hence when  $\beta \equiv 0 \pmod{1 - \zeta}$ ,

$$\psi_{\alpha+\beta}(P)\psi_{\alpha-\beta}(P) - \frac{\psi_{\alpha+2}(P)\psi_{\alpha-2}(P)\psi_\beta^2(P)}{\psi_2^2(P)} = \frac{-\psi_{\beta+2}(P)\psi_{\beta-2}(P)\psi_\alpha^2(P)}{\psi_2^2(P)}.$$

But  $\psi_2(P) = 1$ ,  $\psi_\beta(P) = 0$ , and the lemma follows by taking  $\beta = 1 - \zeta^i$ .

COROLLARY 1. *We have  $\psi_{3-\zeta^i}(P) = \zeta^{2i}$ , whence*

$$\psi_{\alpha+(1-\zeta^i)}(P)\psi_{\alpha-(1-\zeta^i)}(P) = \psi_\alpha^2(P).$$

*Proof.* Plugging  $\alpha = 1 + \zeta^i$  into Lemma 3 yields

$$\frac{\psi_2(P)\psi_{2\zeta^i}(P)}{\psi_{1+\zeta^i}^2(P)} = -\psi_{3-\zeta^i}(P)\psi_{-1-\zeta^i}(P),$$

so the result follows immediately from Lemma 2, (a), (b) and (f).

PROPOSITION 3. *Let  $\alpha \equiv 2 + i(1 - \zeta) \pmod{1 - \zeta^2}$ . Then  $\psi_\alpha(P) = \zeta^{2i}$ . Equivalently,  $\psi_{-\alpha}(P) = -\zeta^{2i}$ .*

*Proof.* Our proof will be in two steps.

*Step 1.* *If the proposition holds for  $\alpha$  and  $\alpha - (1 - \zeta^j)$ , then it holds for  $\alpha + (1 - \zeta^j)$ .*

*Proof.* Taking  $j \geq 1$  we compute

$$\begin{aligned}\alpha - (1 - \zeta^j) &\equiv 2 + i(1 - \zeta) - (1 - \zeta^j) \pmod{(1 - \zeta)^2} \\ &\equiv 2 + i(1 - \zeta) - (1 - \zeta)(1 + \dots + \zeta^{j-1}) \pmod{(1 - \zeta)^2} \\ &\equiv 2 + (i - j)(1 - \zeta) \pmod{(1 - \zeta)^2},\end{aligned}$$

and

$$\alpha + (1 - \zeta^j) \equiv 2 + (i + j)(1 - \zeta) \pmod{(1 - \zeta)^2}.$$

Therefore, by Corollary 1,

$$\psi_{\alpha+(1-\zeta^j)}(P) = \frac{\psi_{\alpha}^2(P)}{\psi_{\alpha-(1-\zeta^j)}(P)} = \frac{(\zeta^{2i})^2}{\zeta^{2(i-j)}} = \zeta^{2(i+j)},$$

as desired.

*Step 2.* Let  $\alpha = 2 + (1 - \zeta)(a + b(1 + \zeta) + c(1 + \zeta + \zeta^2) + d(1 + \zeta + \zeta^2 + \zeta^3))$ . Then the proposition holds for all choices of  $a, b, c, d \in \{0, -1\}$ .

*Proof.* We compute the proposed value of  $\psi_{\alpha}(P) = \zeta^{2(a+2b+3c+4d)}$ . The results are shown in Table 1. These sixteen cases all follow from Lemma 2, applying (a) to (b), (e), (f) and (g).

TABLE 1

$a$	$b$	$c$	$d$	$\alpha$	$\zeta^{2(a+2b+3c+4d)}$
0	0	0	0	2	1
0	0	0	-1	$1 + \zeta^4$	$\zeta^2$
0	0	-1	0	$1 + \zeta^3$	$\zeta^4$
0	0	-1	-1	$\zeta^3 + \zeta^4$	$\zeta$
0	-1	0	0	$1 + \zeta^2$	$\zeta$
0	-1	0	-1	$\zeta^2 + \zeta^4$	$\zeta^3$
0	-1	-1	0	$\zeta^2 + \zeta^3$	1
0	-1	-1	-1	$-2 - \zeta$	$\zeta^2$
-1	0	0	0	$1 + \zeta$	$\zeta^3$
-1	0	0	-1	$\zeta + \zeta^4$	1
-1	0	-1	0	$\zeta + \zeta^3$	$\zeta^2$
-1	0	-1	-1	$-2 - \zeta^2$	$\zeta^4$
-1	-1	0	0	$\zeta + \zeta^2$	$\zeta^4$
-1	-1	0	-1	$-2 - \zeta^3$	$\zeta$
-1	-1	-1	0	$-2 - \zeta^4$	$\zeta^3$
-1	-1	-1	-1	-3	1

*Proof of Proposition 3.* The proof follows directly from Steps 1 and 2, once we observe that adding  $1 - \zeta^j$  to  $\alpha$  for  $j = 1, 2, 3, 4$  increments  $a, b, c, d$ , respectively, by 1.

#### 4. Proof of the theorem

Let  $\beta \in \mathbb{Z}[\zeta]$  so that  $\beta \equiv \pm 1 \pmod{(1 - \zeta)^2}$ . Then  $\sigma^{-1}(\beta) \equiv \pm 1 \pmod{(1 - \zeta)^2}$  and  $\beta\sigma^{-1}(\beta) \equiv 1 \pmod{(1 - \zeta)^2}$ .

Note that  $X(\beta\sigma^{-1}(\beta)z)$  is a function on  $J$ , and by (1.2), (1.3) and (3.1), for

$z \in \Theta$ ,  $(\psi_{\beta\sigma^{-1}(\beta)}(z))^3 X(\beta\sigma^{-1}(\beta)z)$  is a function on  $C$ , with poles only at  $z = O$ ; hence it is a polynomial in  $x$  and  $y$ . We use the Taylor expansion to compute the lead term:

$$\begin{aligned} X(\beta\sigma^{-1}(\beta)z)(\psi_{\beta\sigma^{-1}(\beta)}(z))^3 &= \frac{\sigma(\beta\sigma^{-1}(\beta)z)^3 X(\beta\sigma^{-1}(\beta)z)}{\sigma_2(z)^{3\mathbb{N}_{K/\mathbb{Q}}(\beta)}} \quad (\text{by (2.2)}) \\ &= \frac{(-1)^{3\mathbb{N}_{K/\mathbb{Q}}(\beta)} \beta\sigma(\beta)}{z_2^{6\mathbb{N}_{K/\mathbb{Q}}(\beta)-1}} + \dots, \end{aligned}$$

because of the CM-type of  $J$ . Hence

$$\begin{aligned} X(\beta\sigma^{-1}(\beta)z)(\psi_{\beta\sigma^{-1}(\beta)}(z))^3 &= (-1)^{\mathbb{N}_{K/\mathbb{Q}}(\beta)-1} \beta\sigma(\beta) y x^{3\mathbb{N}_{K/\mathbb{Q}}(\beta)-3} + \dots \\ &= (-1)^{\mathbb{N}_{K/\mathbb{Q}}(\beta)-1} \beta\sigma(\beta) y \prod_{\substack{z \in \Theta \cap (\beta\sigma^{-1}(\beta))^{-1}(X)_{\theta^{\pm 1}} \\ z \notin J[2]}} (x - x(z)). \end{aligned}$$

So plugging in  $z = P$  yields

$$X(\beta\sigma^{-1}(\beta)z)(\psi_{\beta\sigma^{-1}(\beta)}(z))^3|_{z=P} = \frac{1}{2} \beta\sigma(\beta) \prod_{\substack{z \in \Theta \cap (\beta\sigma^{-1}(\beta))^{-1}(X)_{\theta^{\pm 1}} \\ z \notin J[2]}} x(z). \quad (4.1)$$

But since  $\beta\sigma^{-1}(\beta) \equiv 1 \pmod{(1 - \zeta)}$ , Proposition 1 and (2.6) give

$$\begin{aligned} (\psi_{\beta\sigma^{-1}(\beta)}(z))^3 X(\beta\sigma^{-1}(\beta)z)|_{z=P} &= \frac{1}{2} \frac{\sigma((\beta\sigma^{-1}(\beta) + 1)P) \sigma((\beta\sigma^{-1}(\beta) + 2)P) \sigma((\beta\sigma^{-1}(\beta) - 3)P)}{\sigma_2(P)^{3\mathbb{N}_{K/\mathbb{Q}}(\beta)+1} \sigma(2P) \sigma(-3P)} \\ &= \frac{1}{2} \frac{\psi_{\beta\sigma^{-1}(\beta)+1}(P) \psi_{\beta\sigma^{-1}(\beta)+2}(P) \psi_{\beta\sigma^{-1}(\beta)-3}(P)}{\psi_2(P) \psi_{-3}(P)}. \quad (4.2) \end{aligned}$$

Now by Proposition 3,  $\psi_{\beta\sigma^{-1}(\beta)+1}(P) = 1$ , and  $\psi_{\beta\sigma^{-1}(\beta)+2}(P) = \psi_{\beta\sigma^{-1}(\beta)-3}(P) = -1$ . Likewise,  $\psi_2(P) = \psi_{-3}(P) = 1$ . So combining (4.1) and (4.2) gives

$$\beta\sigma(\beta) \prod_{\substack{z \in \Theta \cap (\beta\sigma^{-1}(\beta))^{-1}(X)_{\theta^{\pm 1}} \\ z \notin J[2]}} x(z) = 1,$$

which proves the theorem.

**REMARK.** In the theorem we are taking the product over the zero cycle  $\Theta \cap (\beta\sigma^{-1}(\beta))^{-1}(X)_0 - J[2]$  accounting for intersection multiplicities. Implicitly, we are using the fact that there are six points in the support of  $J[2] \cap \Theta$ , the origin  $O$  and the images  $e_i$  of the five points  $(a_i, 0)$  on  $C$ , and that each of the six points appears with multiplicity 1. This can be verified using the definitions of  $\Theta$  and  $(X)_0$  in terms of sigma functions. Moreover, we claim that when  $\beta$  is a prime not dividing 10, the support of the zero-cycle contains  $6(\mathbb{N}_{\mathbb{Q}(\zeta)/\mathbb{Q}}(\beta) - 1)$  points, each with multiplicity 1, and one-sixth of the points lie in  $J_0(\beta)$ . Indeed, the intersection number can be computed by noting that  $(X)_0 \sim 3\Theta$ ,  $(\beta\sigma^{-1}(\beta))^{-1}\Theta \sim \mathbb{N}_{K/\mathbb{Q}}(\beta)\Theta$ , and that the self-intersection number of  $\Theta$  is 2. To compute the multiplicities, note that  $z \in (\beta\sigma^{-1}(\beta))^{-1}(X)_0 \cap \Theta$  precisely when

$$\beta\sigma^{-1}(\beta)z \in (X)_0 \quad \text{and} \quad z \in \Theta,$$

and by the theory of complex multiplication [6, p. 86, Theorem 1.2], when  $\beta$  is

prime this implies that

$$\text{Fr}_\beta(z) \in (X)_0 \quad \text{and} \quad z \in \Theta \pmod{\beta},$$

or that

$$z \in (X)_0 \cap \Theta \pmod{\beta},$$

where  $\text{Fr}_\beta$  is the Frobenius mod  $\beta$ . Since  $-X/X_{222}$  restricts to  $y$  on  $\Theta$ ,  $(X)_0 \cap \Theta$  consists of  $O$  and  $e_1, \dots, e_5$ . Using formal groups [4] it is possible to show that there are  $\mathbb{N}_{K/\mathbb{Q}}(\beta)$  distinct points in the support of  $(\beta\sigma^{-1}(\beta))^{-1}(X)_0 \cap \Theta$  which reduce to each of  $O, e_1, e_2, e_3, e_4$  or  $e_5 \pmod{\beta}$ .

### References

1. J. W. S. CASSELS, 'On Kummer sums', *Proc. London Math. Soc.* (3) 21 (1970) 19–27.
2. J. COATES and A. WILES, 'On the conjecture of Birch and Swinnerton-Dyer', *Invent. Math.* 39 (1977) 223–251.
3. E. DE SHALIT, *Iwasawa theory of elliptic curves with complex multiplication*, Perspectives in Mathematics 3 (Academic Press, Orlando, 1987).
4. D. GRANT, 'Formal groups in genus two', *J. reine angew. Math.*, to appear.
5. T. KUBOTA, 'An application of power residue theory to some Abelian functions', *Nagoya Math. J.* 27 (1966) 51–54.
6. S. LANG, *Complex multiplication* (Springer, New York, 1983).
7. S. LANG, *Abelian varieties* (Interscience, New York, 1959).
8. C. R. MATTHEWS, 'Gauss sums and elliptic functions: I. the Kummer sum', *Invent. Math.* 52 (1979) 163–185.
9. K. RAMACHANDRA, 'Some applications of Kronecker's limit formulas', *Ann. of Math.* 80 (1964) 104–148.
10. G. ROBERT, 'Unités elliptiques', *Bull. Soc. Math. France. Mémoire* 36 (1973).
11. K. RUBIN, 'Tate–Shafarevich groups and  $L$ -functions of elliptic curves with complex multiplication', *Invent. Math.* 89 (1987) 527–560.
12. K. RUBIN, 'The main conjecture', *Cyclotomic fields I and II* (S. Lang), Graduate Texts in Mathematics 121 (Springer, New York, 1990), appendix.
13. C. L. SIEGEL, *Lectures on advanced analytic number theory* (Tata Institute of Fundamental Research, Bombay, 1961).
14. H. STARK, ' $L$ -function at  $s = 1$ . IV. First derivatives at  $s = 0$ ', *Adv. in Math.* 35, No. 3 (1980) 197–235.

*Department of Mathematics*  
*University of Colorado at Boulder*  
*Boulder*  
*Colorado 80309*  
*U.S.A.*

*E-mail:* grant@boulder.colorado.edu