

Some remarks on almost rational torsion points

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Introduction

Let G be a commutative algebraic group defined over a perfect field k . Let \bar{k} be an algebraic closure of k and Γ_k be the Galois group of \bar{k} over k . Following Ribet ([1], [19], see also [7]), we say a point $P \in G(\bar{k})$ is *almost rational over k* if whenever $\sigma, \tau \in \Gamma_k$ are such that $\sigma(P) + \tau(P) = 2P$, then $\sigma(P) = \tau(P) = P$. We denote the almost rational points of G over k by G_k^{ar} . Let G'_{tors} denote the torsion subgroup of $G(\bar{k})$ and G'_{tors} the subgroup of points of order prime to the characteristic of k . Let $G_{\text{tors},k}^{\text{ar}} = G_k^{\text{ar}} \cap G'_{\text{tors}}$ and $G_{\text{tors},k}^{\text{ar},\prime} = G_k^{\text{ar},\prime} \cap G'_{\text{tors}}$. For any $N \geq 1$, we let $G[N]$ denote the subgroup of G_{tors} consisting of points of order dividing N , and O denote the origin of G .

Using unpublished results of Serre [22], Ribet showed that if K is a number field and G is an abelian variety over K , then $G_{\text{tors},K}^{\text{ar}}$ is a finite set [1], [19]. Let C be a nonsingular projective curve of genus at least 2 over K , and $\phi_Q : C \rightarrow J$ an Albanese embedding of C into its Jacobian J with a K -rational point Q as base point. Then for any $P \in C(\bar{K})$ which is not a hyperelliptic Weierstrass point, $\phi_Q(P) \in J_K^{\text{ar}}$. Hence Ribet's result gives a new proof of the Manin-Mumford conjecture, originally proved by Raynaud [18], that the torsion packet $\phi_Q(C) \cap J_{\text{tors}}$ is finite. In [7], Calegari determined all the possibilities for the \mathbb{Q} -almost rational torsion points on a semi-stable elliptic curve over \mathbb{Q} .

In [4] and [5] the authors defined and studied the notion of the set of *singular torsion points* E_{sing} on an elliptic curve E over a field of characteristic different from 2, which is an analogue of torsion packets for elliptic curves. Since singular torsion points of order at least 3 are almost rational, Ribet's result also shows that E_{sing} is a finite set when E is defined over a field of characteristic 0.

The purpose of this paper is to prove a number of properties of almost rational torsion points on various classes of commutative algebraic groups over fields of arithmetic interest. Our first topic concerns uniform bounds

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for the orders of points of $G_{\text{tors},K}^{\text{ar}}$ for certain G defined over number fields K . In § 2 we show that for given integers g and d , there exists an integer $U_{d,g}$ such that for all tori M of dimension at most g over number fields K of degree at most d , we have $M_{\text{tors},K}^{\text{ar}} \subseteq M[U_{d,g}]$. In § 3 we likewise show that for given d and g , there exists an integer $V_{d,g}$ such that if A is an abelian variety of dimension at most g with (potential) complex multiplication, and A is defined over a number field K of degree at most d , then $A_{\text{tors},K}^{\text{ar}} \subseteq A[V_{d,g}]$. This implies that for a given $g > 1$ and d , if C is a curve of genus g defined over a number field K of degree d and $Q \in C(K)$, and if the Jacobian J of C has (potential) complex multiplication, then there is an integer $W_{d,g}$ such that $\phi_Q(C) \cap J_{\text{tors}} \subseteq J[W_{d,g}]$. Coleman has a sharp bound for the order of this torsion packet that depends on the reduction type of C and the ramification in K [8].

In § 4 we show that a folklore conjecture concerning the action of Γ_K on torsion points of elliptic curves without complex multiplication implies that for a given d , there is an integer X_d with the property that for all one dimensional commutative group varieties G defined over number fields K of degree at most d , we have $G_{\text{tors},K}^{\text{ar}} \subseteq G[X_d]$. We also mention a number of related unconditional results for elliptic curves. The proofs rely on general properties of almost rational points as recalled in § 1, and use methods similar to those of our previous paper [5]. We note that writing our proofs in greater detail would yield explicit values of $U_{d,g}$, $V_{d,g}$, and $W_{d,g}$.

Our second topic concerns whether $A_{\text{tors},K}^{\text{ar}}$ is infinite or not when A is an abelian variety defined over a field K which is a finite extension of \mathbb{Q}_p (which we will refer to as a *p-adic field*). We give an example in § 6 where $A_{\text{tors},K}^{\text{ar}}$ is finite, and show that there is always a finite extension L of K , of degree bounded only in terms of the dimension of A , such that $A_{\text{tors},L}^{\text{ar}}$ is infinite.

This is achieved by passing to an extension where A has semistable reduction, and by using a simple argument to lift almost rational torsion from the reduction of A to almost rational torsion defined over the maximal unramified extension of K . This forces us in § 5 to make a careful study of whether $G_{\text{tors},k}^{\text{ar},\prime}$ is infinite or not when G is a semi-abelian variety and k is a finite field. In § 5 we show that $G_{\text{tors},k}^{\text{ar},\prime}$ is infinite except in a finite number of cases that are listed explicitly in Proposition 11.

1. Almost rational points

Let G be a commutative algebraic group defined over a perfect field k . We begin by bringing together some of the simpler properties of G_k^{ar} (see [5] and [7]). It is clear that $G(k) \subseteq G_k^{\text{ar}}$, that if K is an extension field of k , then $G_k^{\text{ar}} \subseteq G_K^{\text{ar}}$, and that G_k^{ar} is Γ_k -stable. Note that it is not true in

general that G_k^{ar} is a group. For a counterexample, see the example after Theorem 1.2 in [7].

Lemma 1. *Let $P, Q \in G(\bar{k})$, and suppose that the normal closures of $k(P)$ and $k(Q)$ are linearly disjoint over k . If $P + Q \in G_k^{\text{ar}}$, then $P, Q \in G_k^{\text{ar}}$.*

Proof. By symmetry it suffices to show that $P \in G_k^{\text{ar}}$. Suppose $P + Q$ is almost rational, and let $\sigma, \tau \in \Gamma_k$ be such that $\sigma(P) + \tau(P) = 2P$. By hypothesis, there exist $\sigma', \tau' \in \Gamma_k$ such that $\sigma'(P) = \sigma(P)$ and $\sigma'(Q) = Q$, $\tau'(P) = \tau(P)$ and $\tau'(Q) = Q$. Then $\sigma'(P + Q) + \tau'(P + Q) = 2(P + Q)$, so $\sigma'(P + Q) = \tau'(P + Q) = P + Q$. But then $\sigma(P) = P$ and $\tau(P) = P$, hence P is almost rational. \square

Remarks. 1) In practice, we apply Lemma 1 to torsion points. If $P \in G[M]$ and $Q \in G[N]$ for integers M and N such that $k(G[M])$ and $k(G[N])$ are linearly disjoint over k , then if $P + Q \in G_k^{\text{ar}}$, the components P, Q are in G_k^{ar} as well.

2) There is a complement to Lemma 1, which says that if $P, Q \in G_k^{\text{ar}}$ are such that the subgroups G_P and G_Q of $G(\bar{k})$ generated respectively by all the Γ_k -conjugates of P and of Q satisfy $G_P \cap G_Q = \{O\}$, then also $P + Q \in G_k^{\text{ar}}$. In particular, if M, N are relatively prime integers, and $P, Q \in G_k^{\text{ar}}$ are of order M and N respectively, then $P + Q \in G_k^{\text{ar}}$.

Proposition 2. *Let Ω be a finite set of primes and let G_Ω be the subgroup of G_{tors} consisting of points whose order is divisible only by primes in Ω . If ℓ is a prime, set $\ell' = \ell$ if ℓ is odd and $\ell' = 4$ if $\ell = 2$. Let $L = \prod_{\ell \in \Omega} \ell'$ and let $k' = k(G[L])$. Suppose that there exists an integer M , divisible only by primes in Ω , such that $G(k') \cap G_\Omega \subseteq G[M]$. Then $G_{\text{tors},k}^{\text{ar}} \cap G_\Omega \subseteq G[M]$.*

This is Proposition C of [5]. Note that the hypothesis about M is satisfied whenever k is a field having the property that for every finite extension K of k , $G_{\text{tors}}(K)$ is a finite group. In particular, this is the case when k is a finite field, a p -adic field, or a number field.

The explicit bound $B(r)$ in the following Lemma appears in several applications.

Lemma 3. *For any integer $r \geq 1$, let*

$$B(r) = ((r-1)(r-2) + r\sqrt{r^2 - 6r + 17})^2/4.$$

If p is any prime such that $p > B(r)$, then for every $a \geq 1$, there is a point $(x_a, y_a) \in ((\mathbb{Z}/p^a\mathbb{Z})^)^2$ on the curve $x^r + y^r = 2$ satisfying $x_a^r \neq 1, y_a^r \neq 1$.*

Proof. It is easy to see that $B(r) > r$, so that if $p > B(r)$, the projective curve $X^r + Y^r = 2Z^r$ is smooth in characteristic p , and its genus is $(r-1)(r-2)/2$. The case $a = 1$ now follows from standard applications of the Weil bounds. The case $a > 1$ then follows from Hensel's Lemma (see for example [2], Chapter I §5.2). \square

2. Tori over number fields

As a simple application of the above, we consider tori. First we need a Lemma.

Lemma 4. *Let K be a number field of degree at most d , and $B(d)$ as in Lemma 3. For every $g \geq 1$, if $p > B(d)$, then p does not divide the order of any almost rational torsion point of $(\mathbb{G}_m)^g$ over K .*

Proof. Suppose there is an almost rational torsion point Q of $(\mathbb{G}_m)^g$ over K of order N divisible by a prime $p > B(d)$. Since $p > B(d) > d$, we have $p > d$ and p odd. Decompose $Q = Q_p + Q'$, where Q' is of order prime to p , and Q_p is of precise order p^a for some $a \geq 1$. Let \mathfrak{p} be a prime of K above p , and I the inertia group of any prime of $K(Q_p)$ above \mathfrak{p} . Then I is a subgroup of finite index s in $(\mathbb{Z}/p^a\mathbb{Z})^\times$ for some $s \leq d < p$. Since $(\mathbb{Z}/p^a\mathbb{Z})^\times$ is cyclic, I is just the group of s -th powers in $(\mathbb{Z}/p^a\mathbb{Z})^\times$. If we can find $x, y \in (\mathbb{Z}/p^a\mathbb{Z})^\times$ such that $x^s + y^s = 2$, but $x^s \neq 1$ and $y^s \neq 1$, then since I acts trivially on Q' , Q cannot be almost rational over K . It is easy to see that $B(r+1) > B(r)$ for all $r \geq 1$, so $p > B(s)$ and we can apply Lemma 3 to find such x and y . \square

Proposition 5. *Let K be a number field of degree at most d . Then there is an explicitly computable integer R_d such that for every $g \geq 1$, every almost rational torsion point on \mathbb{G}_m^g over K is of order dividing R_d .*

Proof. This follows almost immediately from Lemma 4 by applying Proposition 2, taking Ω to be the set of all primes less than or equal to $B(d)$. To conclude the proof, we need to check that the integer M appearing in Proposition 2 depends only on d . But L depends only on $B(d)$, and hence only on d . Then $K' = K((\mathbb{G}_m)^g[L]) = K(\mathbb{G}_m[L])$ is a Galois extension of K whose Galois group embeds into $(\mathbb{Z}/L\mathbb{Z})^\times$, whose order is therefore bounded only in terms of d . It follows that the degree of K' is bounded only in terms of d . But the N th-cyclotomic polynomial is irreducible over \mathbb{Q} and has degree tending to ∞ with N . This implies that the number of roots of unity in K' is bounded only in terms of d . \square

Theorem 6. *Let $d \geq 1$, $g \geq 1$ be integers. Then there exists an explicitly computable integer $U_{d,g}$ such that for all tori M of dimension at most g defined over number fields K of degree at most d , we have $M_{\text{tors},K}^{\text{ar}} \subseteq M[U_{d,g}]$.*

Proof. By Proposition 5, it suffices to reduce to the case of split tori. To do this, we show that given an integer g , there exists an integer N_g such that if M is a torus of dimension g defined over a perfect field k , then M splits over a Galois extension of degree at most N_g of k . This is well-known, and we briefly recall the proof. By definition, there exists a finite Galois extension L of k and an isomorphism $\phi : \mathbb{G}_m^g \rightarrow M$ defined over L . Since

all automorphisms of \mathbb{G}_m^g are defined over k , we have a homomorphism $\rho : \text{Gal}(L/k) \rightarrow \text{Aut}(\mathbb{G}_m^g) \cong GL_g(\mathbb{Z})$ defined by $\rho(\sigma) = \phi^{-1} \circ \phi^\sigma$. Then ϕ is defined over the fixed field F of the kernel of ρ , so M splits over F and $\text{Gal}(F/k)$ is isomorphic to the image of ρ . Finally, according to a well-known result in group theory, the order of any finite subgroup of $GL_g(\mathbb{Z})$ divides $(2g)!$ (see for example [14] page 175), which gives us what we want. \square

3. Abelian varieties with complex multiplication

Let A be an abelian variety of dimension g over the number field K , let $\text{End}(A)$ denote the endomorphism ring of A over \overline{K} , and $\text{End}_{\mathbb{Q}}(A)$ denote $\text{End } A \otimes \mathbb{Q}$. Then A is \overline{K} -isogenous to a product $\prod_{j=1}^n A_j^{r_j}$ where the A_j , $1 \leq j \leq n$, are mutually non- \overline{K} -isogenous simple abelian varieties. We say that A has complex multiplication if for each j , $\text{End}_{\mathbb{Q}}(A_j)$ is a CM field F_j and $[F_j : \mathbb{Q}] = 2 \dim A_j$.

Theorem 7. *Let $d \geq 1$, $g \geq 1$ be integers. There exists an explicitly computable integer $V_{d,g}$ such that for all abelian varieties A of dimension at most g , with complex multiplication, and defined over number fields K of degree at most d , $A_{\text{tors},K}^{\text{ar}} \subseteq A[V_{d,g}]$.*

Proof. We first show how to reduce to the case where $\text{End}(A)$ is defined over K , all of the absolutely simple factors of A are defined over K , A is isogenous over K to the product of these simple factors, and A has everywhere good reduction over K . The following lemma follows from Theorem 4.1 of [24], but for the convenience of the reader we include a quick direct proof.

Lemma 8. *Let g be an integer. Then there exists a constant N_g such that for any abelian variety B of dimension g defined over a perfect field k , there exists an extension k' of k of degree at most N_g , such that all the endomorphisms and absolutely simple factors of B are defined over k' , and B is isogenous over k' to the product of its simple factors.*

Proof. Recall that $\text{End } B$ is a free abelian group of rank at most $4g^2$, and Γ_k acts on $\text{End } B$. Fixing a \mathbb{Z} -basis $(\alpha_1, \alpha_2, \dots, \alpha_n)$ of $\text{End } B$, we obtain a homomorphism $\rho : \Gamma_k \rightarrow GL_n(\mathbb{Z})$ by letting $\rho(\sigma)$ be the matrix of $(\sigma(\alpha_1), \sigma(\alpha_2), \dots, \sigma(\alpha_n))$ with respect to $(\alpha_1, \alpha_2, \dots, \alpha_n)$. As in the proof of Theorem 6, the image of ρ is a finite subgroup of $GL_n(\mathbb{Z})$ whose order bounded only in terms of n and hence only in terms of g . Thus $(\alpha_1, \alpha_2, \dots, \alpha_n)$ is defined over the finite extension k' fixed by the kernel of ρ , so the same must be true of each element α_i . Since $(\alpha_1, \alpha_2, \dots, \alpha_n)$ is a basis of $\text{End } B$, we conclude that all the elements of $\text{End } B$ are defined over k' . By Poincaré's irreducibility theorem, the simple factors of B are

images of B under integral multiples of suitable idempotents in $\text{End}_{\mathbb{Q}} B$, so the simple factors of B are defined over k' and B is isogenous over k' to the product of its simple factors. \square

Proof of Theorem 7. By Lemma 8, we may suppose that A is K -isogenous to a product $\prod_{j=1}^n A_j^{r_j}$, where the A_j , $1 \leq j \leq n$, are absolutely simple mutually non-isogenous abelian varieties over K , and for each j , $\text{End}_{\mathbb{Q}} A_j$ is a CM field F_j with $[F_j : \mathbb{Q}] = 2 \dim A_j$. In addition, A acquires semistable reduction over $K(A[12])$ (see for example [25]), which is again an extension of K of degree bounded by the order of $GL_{2g}(\mathbb{Z}/12\mathbb{Z})$, which depends only on g . On the other hand, since each A_j has CM, it acquires everywhere good reduction over a finite extension of K ([10], page 100). This implies that A actually has everywhere good reduction over $K(A[12])$. Thus, replacing K by $K(A[12])$ if necessary, we now suppose A has everywhere good reduction over K . Then each A_j also has everywhere good reduction over K . Let K^{ab} denote the maximal abelian extension of K .

For each j , A_j has a polarization defined over K , so we can apply the main theorems of complex multiplication to study the action of $\text{Gal}(K^{ab}/K)$ on $(A_j)_{\text{tors}}$. Let ℓ be any prime, and $T_{\ell}(A_j)$ the Tate module. Let I_K denote the idele group of K , H the Hilbert class field of K , and \mathcal{O} the ring of integers of K . Then the composite of the inclusion $(\mathcal{O} \otimes \mathbb{Z}_{\ell})^* \rightarrow I_K$ and the Artin map $I_K \rightarrow \text{Gal}(K^{ab}/K)$ sends $(\mathcal{O} \otimes \mathbb{Z}_{\ell})^*$ into $\text{Gal}(K^{ab}/H)$. Let ρ be the composite map

$$(\mathcal{O} \otimes \mathbb{Z}_{\ell})^* \rightarrow \text{Gal}(K^{ab}/H) \rightarrow \text{End}(T_{\ell}(A_j)) \rightarrow \text{End}(F_j \otimes \mathbb{Z}_{\ell}),$$

where the center arrow is the Galois representation on $T_{\ell}(A_j)$, and the righthand arrow is the map induced from the identification of $T_{\ell}(A_j) \otimes \mathbb{Q}_{\ell}$ with $F_j \otimes \mathbb{Z}_{\ell}$. The proof of Theorem 2.8 of Chapter 4 in [10] shows that ρ is the reflex norm. In particular, if $\alpha \in \mathbb{Z}_{\ell}^*$, then there is an element $\sigma_{\alpha} \in \text{Gal}(K^{ab}/H)$ that acts on $T_{\ell}(A_j)$ via the homothety which is multiplication by $\alpha^{[K:\mathbb{Q}]/2}$. Since this holds for all j , σ_{α} also acts on $T_{\ell}(\prod_{j=1}^n (A_j)^{r_j})$ via multiplication by $\alpha^{[K:\mathbb{Q}]/2}$. Note that the action of homotheties on Tate modules is preserved by isogenies (see e.g. [6] Prop. 1.8(e)), so σ_{α} also acts on $T_{\ell}(A)$ via the homothety $\alpha^{[K:\mathbb{Q}]/2}$. Since A has everywhere good reduction over K , σ_{α} actually belongs to the subgroup I^{ℓ} of $\text{Gal}(K^{ab}/H)$ generated by the inertia groups of places of K lying above ℓ . By the Néron-Ogg-Shafarevich criterion, I^{ℓ} acts trivially on the points of A_{tors} of order prime to ℓ . Using Lemma 3, it follows as in the proof of Lemma 4, that if $\ell > B([K:\mathbb{Q}]/2)$, ℓ does not divide the order of a point of $A_{\text{tors},K}^{\text{ar}}$. We deduce that the orders of points of $A_{\text{tors},K}^{\text{ar}}$ can only be divisible by a finite set of primes Ω that depends only on d and g .

To conclude the proof we apply Proposition 2. Again, $L = \prod_{\ell \in \Omega} \ell^{\ell}$ depends only on d and g , and so $[K' : \mathbb{Q}]$ is bounded only in terms of d and

g , where $K' = K(A[L])$. Since A has everywhere good reduction, applying the Weil bounds at places of K' above 2 and 3 shows that the orders of points of $A_{\text{tors}}(K')$ are bounded only in terms of $[K' : \mathbb{Q}]$ and g . \square

4. Elliptic curves without complex multiplication

We now assume that E is an elliptic curve defined over a number field K that does not have complex multiplication. For any prime ℓ , let $\rho_\ell : \Gamma_K \rightarrow GL_2(\mathbb{F}_\ell)$ be the representation obtained from the action of Γ_K on $E[\ell]$. Similarly, let $\rho_{\ell^\infty} : \Gamma_K \rightarrow GL_2(\mathbb{Z}_\ell)$ be the representation giving the action of Γ_K on $T_\ell(E)$, and let $\rho : \Gamma_K \rightarrow GL_2(\hat{\mathbb{Z}}) = \prod_{\ell \text{ prime}} GL_2(\mathbb{Z}_\ell)$ be that

obtained from the action of Γ_K on E_{tors} .

The main theorem of Serre in [21] is that the image of ρ is of finite index in $GL_2(\hat{\mathbb{Z}})$. The Proposition on page IV-19 of [20] shows that this is equivalent to the existence of an integer $n(E, K)$ such that for all primes $\ell > n(E, K)$, the image of ρ_ℓ contains $SL_2(\mathbb{F}_\ell)$.

Proposition 9. *Suppose $\ell \geq 7$. If the image of ρ_ℓ contains $SL_2(\mathbb{F}_\ell)$, then ℓ cannot divide the order of an almost rational torsion point of E over K .*

Proof. Lemma 5 on page IV-26 of [20] states that if S is the finite set of primes containing 2, 3, and 5, and all primes $\ell \geq 7$ such that the image of ρ_ℓ does not contain $SL_2(\mathbb{F}_\ell)$, then if $X = \prod_{\ell \notin S} SL_2(\mathbb{Z}_\ell)$ as a subgroup of the full product $SL_2(\hat{\mathbb{Z}})$, then $\rho(\Gamma_K) \supseteq X$. Let L the extension of K such that $\rho(\Gamma_L) = X$. Then by Lemma 1, it suffices to show that there is no almost rational torsion point R of E over L with R of ℓ -power order. Suppose such an R exists. By construction, $\rho_{\ell^\infty}(\Gamma_L) = SL_2(\mathbb{Z}_\ell)$. Let $\sigma \in \Gamma_L$ be such that $\rho_{\ell^\infty}(\sigma)$ is a transvection in $SL_2(\mathbb{Z}_\ell)$. Then $(\sigma - 1)^2 R = (\sigma + \sigma^{-1} - 2)\sigma(R) = O$, and since R is almost rational over L , $\sigma(R) = R$. But the only element of \mathbb{Z}_ℓ^2 fixed under multiplication by all the transvections in $SL_2(\mathbb{Z}_\ell)$ is the origin, so $R = O$. \square

The Proposition says that if ℓ is a prime dividing the order of a point of $E_{\text{tors}, K}^{\text{ar}}$, then $\ell \leq \max(5, n(E, K))$. Note that applying Proposition 2, taking Ω to be the set of all primes $\ell \leq \max(5, n(K, E))$, we again deduce the finiteness of $E_{\text{tors}, K}^{\text{ar}}$. Combining this with Theorem 7, we again conclude that there are only finitely-many almost rational torsion points on an elliptic curve over a number field. Recall that in [21], page 299, Serre asks whether there exists an integer $n(K)$, depending only on K , such that $n(E, K) \leq n(K)$ for all elliptic curves E over K without complex multiplication. It seems like a reasonable question to ask whether, given any integer $d \geq 1$, there is an integer n_d such that for all elliptic curves E without complex multiplication defined over number fields K of degree at most d , $n(E, K) \leq n_d$.

Corollary 10. *Suppose for every $d \geq 1$ there is an integer n_d such that for all elliptic curves E without complex multiplication defined over number fields K of degree at most d , $\rho_\ell(\Gamma_K) \supseteq SL_2(\mathbb{F}_\ell)$ for all primes $\ell > n_d$. Then for every $d \geq 1$ there is an integer $X_d \geq 1$ such that for all one-dimensional commutative algebraic groups G over number fields K of degree at most d , $G_{\text{tors},K}^{\text{ar}} \subseteq G[X_d]$.*

Proof. When $G = \mathbb{G}_a$, there is nothing to prove. The case of a torus follows from Theorem 6. In view of Theorem 7, it suffices to consider elliptic curves E without complex multiplication. By Proposition 9, only primes $\ell \leq \max(5, n_d)$ can divide the order of a point of $E_{\text{tors},K}^{\text{ar}}$. We apply Proposition 2, taking Ω to be this set of primes. We deduce that there exists an extension K'/K , of degree bounded only in terms of d , such that $E_{\text{tors},K}^{\text{ar}} \subseteq E(K')_{\text{tors}}$. A well-known result of Merel [13], [16] gives that $E(K')_{\text{tors}}$ is bounded only in terms of d . \square

Remarks. 1) For general K and E , the best known upper bound on $n(E, K)$ is that of Masser-Wüstholz [11]. They prove that there exist absolute constants c and γ , such that if E is an elliptic curve without complex multiplication over a number field K of degree d , and if h is the absolute logarithmic Weil height of $j(E)$, then $\rho_\ell(\Gamma_K) \supseteq SL_2(\mathbb{F}_\ell)$ provided $\ell > c(\max(d, h))^\gamma$. For more recent work, see [17] and [27]. Since there are elliptic curves over \mathbb{Q} with a rational 5-torsion point, $5 \leq c(\max(d, h))^\gamma$, so we get that if ℓ divides the order of a point of $E_{\text{tors},K}^{\text{ar}}$, then $\ell \leq c(\max(d, h))^\gamma$.

2) Recall that Mazur [12] proved that if E is a semistable elliptic curve over \mathbb{Q} , then $\rho_\ell(\Gamma_{\mathbb{Q}}) = GL_2(\mathbb{F}_\ell)$ for all $\ell \geq 11$. Since there are no elliptic curves over \mathbb{Q} with everywhere good reduction, there are no semistable elliptic curves over \mathbb{Q} that have complex multiplication. Using Proposition 9, we get that if E is a semistable elliptic curve over \mathbb{Q} , and if ℓ is a prime dividing the order of a point of $E_{\text{tors},\mathbb{Q}}^{\text{ar}}$, then $\ell \leq 7$. This recovers Corollary 2.2 of [7].

5. Semi-abelian varieties over finite fields

Let k be a finite field with q elements, and let G be a semi-abelian variety over k . We shall prove that $G_{\text{tors},k}^{\text{ar},'}$ is typically infinite, but is finite in certain prescribed cases.

The template for our proof is the case where $G = \mathbb{G}_m$. Then if $n \in \mathbb{N}$, any primitive $(q^n - 1)$ -st root of unity ζ is almost rational over k . Indeed, $\{\zeta^{q^a} \mid 0 \leq a \leq n-1\}$ is a complete set of Γ_k -conjugates of ζ . If $\zeta^{q^a} \zeta^{q^b} = \zeta^2$ with $0 \leq a, b \leq n-1$, then $q^a + q^b \equiv 2 \pmod{q^n - 1}$. Since $q \geq 2$, we have $q^a + q^b \leq q^n$, so $a = b = 0$. Hence $(\mathbb{G}_m)_{\text{tors},k}^{\text{ar}}$ is infinite.

To generalize this argument, we need to recall some basic facts about the action of Γ_k on G'_{tors} for any semi-abelian variety defined over k . By definition, G is an extension of an abelian variety A by a torus M , and both A and M are defined over k . Let σ be the Frobenius generator of Γ_k , and let χ_G be the characteristic polynomial of σ acting on $T_\ell(G)$ for any prime ℓ prime to q , and define χ_A and χ_M similarly for A and M . Then χ_G , χ_A , and χ_M are monic polynomials with integer coefficients that are independent of ℓ , and since $T_\ell(G)$ is an extension of $T_\ell(A)$ by $T_\ell(M)$, we have $\chi_G = \chi_A \chi_M$. Hence $\chi_G(\sigma)(P) = 0$ for all $P \in G'_{\text{tors}}$, and corresponding assertions hold for A and M . If we view G'_{tors} as a module over the polynomial ring $\mathbb{Z}[t]$ by letting $f \in \mathbb{Z}[t]$ act as $f(\sigma)$, then the annihilating ideal I of G'_{tors} contains χ_G . Let $m_G \neq 0$ be an element of I of minimal degree and let μ be the greatest common divisor of the coefficients of m_G . Since G'_{tors} is divisible, m_G/μ belongs to I , and so we can suppose $\mu = 1$. Since m_G divides χ_G in $\mathbb{Q}[t]$, χ_G/m_G actually has coefficients in \mathbb{Z} by Gauss's lemma, so that, after multiplying m_G by ± 1 , we can suppose that m_G is monic. Since m_G has minimal degree among the non-zero elements of I , m_G generates I . We call m_G the minimal polynomial of σ , and define m_A and m_M similarly.

It is well known (see for example [26]) that if $\prod_{i=1}^v g_i^{d_i}$ is the factorization of χ_A into distinct irreducibles in $\mathbb{Z}[t]$, then there is a k -isogeny

$$\omega : \prod_{i=1}^v \mathcal{A}_i^{d_i} \rightarrow A, \quad (1)$$

where the \mathcal{A}_i are mutually non- k -isogenous k -simple abelian varieties, and where for each i , $g_i = \chi_{\mathcal{A}_i}$. Also the action of σ is semisimple, so $m_A = \prod_{i=1}^v g_i$.

The corresponding results hold for tori. Let $M^* = \text{Hom}(M, \mathbb{G}_m)$ be the character group of the torus M . Recall (see for example [15]) that the action of Γ_k on M^* is semisimple, and the k -isogeny class of M is determined by the structure of $M^* \otimes \mathbb{Q}$ as a Γ_k -module, hence by the characteristic polynomial $h(t)$ of σ acting on M^* . Since the action of Γ_k on M^* factors through a finite quotient, $h(t)$ as a product of irreducibles in $\mathbb{Z}[t]$ is of the form $\prod_{j=1}^w \Phi_{\nu_j}^{e_j}$, where Φ_ν is the ν -th cyclotomic polynomial, $\nu_j \neq \nu_\ell$ if $j \neq \ell$, and $\sum_{j=1}^w e_j \phi(\nu_j) = d$, where d is the dimension of M and ϕ is Euler's function. For each ν there is a torus M_ν over k such that the characteristic polynomial of σ acting on $(T_\nu)^*$ is Φ_ν , so M is k -isogenous to $\prod_{j=1}^w M_{\nu_j}^{e_j}$, and the M_{ν_j} are mutually non- k -isogenous k -simple tori. Further, $M'_{\text{tors}} = M(\bar{k}) = \text{Hom}(M^*, \bar{k}^*)$, so $\chi_M = q^d h(t/q)$, and hence $m_M(t) = \prod_{j=1}^w m_{M_{\nu_j}}(t)$, where $m_{M_\nu}(t) = q^{\phi(\nu)} \Phi_\nu(t/q)$.

Recall that, by the Riemann hypothesis for function fields, every complex root λ of χ_A satisfies $|\lambda| = q^{1/2}$ while every complex root μ of χ_M satisfies $|\mu| = q$. It follows that the sets of roots of χ_A and of χ_M are disjoint,

so $m_G = m_{AMM}$. Write $R = \mathbb{Z}[t]/m_G$ and $L = R \otimes \mathbb{Q}$. Since m_G is monic, R embeds into L . Also $L = \mathbb{Q}[t]/m_G = \mathbb{Q}[t]/m_A \times \mathbb{Q}[t]/m_M$ is a product of number fields $L = \prod_{i=1}^{v+w} L_i$, where $L_i = \mathbb{Q}[t]/g_i$ if $i \leq v$ and $L_i = \mathbb{Q}[t]/m_{M_{v_i-v}}$ if $i \geq v+1$. Let \mathcal{O}_i be the ring of integers of L_i , and $\mathcal{O} = \prod \mathcal{O}_i$. Let π be the image of t in \mathcal{O} under the canonical map $\mathbb{Z}[t] \rightarrow R \subseteq \mathcal{O}$.

Proposition 11. *Let E_1 , E_2 , and E_3 denote respectively the curves over k with equations $y^2 + (x+1)y = x^3 + x^2$, $y^2 + y = x^3 + x$, and $y^2 + y = x^3$, and let M_v be as above.*

(i) *If $q = 2$ and G is an abelian variety over k which is k -isogenous to some power of E_1 or E_2 , then $G_{\text{tors},k}^{\text{ar},'} = G'_{\text{tors}}(k)$.*

(ii) *If $q = 2$ or 4 , and if G is an abelian variety over k which is k -isogenous to a power of E_3 , then $G_{\text{tors},k}^{\text{ar},'} = G'_{\text{tors}}(\mathbb{F}_4)$.*

(iii) *If $q = 2$ and if G is a torus over k which is k -isogenous to some power of M_2 , then $G_{\text{tors},k}^{\text{ar},'} = G'_{\text{tors}}(k)$.*

Proof. Consider first the case when $q = 2$ and G is isogenous to some power of E_1 . Then $\chi_{E_1}(t) = m_{E_1}(t) = t^2 + t + 2$, and so $m_G(t) = t^2 + t + 2$ and this divides $t^3 + t - 2$. Therefore $\pi^3 P + \pi P = 2P$ for all $P \in G'_{\text{tors}}$. But if $P \notin G'_{\text{tors}}(k)$, then $\pi P \neq P$, so P cannot be almost rational. The other cases are treated similarly, using the fact that $m_{E_2}(t) = t^2 + 2t + 2$, which divides $t^3 + t^2 - 2$; $m_{E_3}(t) = t^2 + 2$ over \mathbb{F}_2 , which divides $t^4 + t^2 - 2$; $m_{E_3}(t) = t + 2$ over \mathbb{F}_4 , which divides $t^2 + t - 2$; and $m_{M_2}(t) = t + 2$ over \mathbb{F}_2 , which again divides $t^2 + t - 2$. \square

Theorem 12. *Let G be a semi-abelian variety over a finite field k with q elements. Then $G_{\text{tors},k}^{\text{ar},'}$ is finite if and only if G is of one of the types listed in Proposition 11.*

The proof will follow the argument given for \mathbb{G}_m at the beginning of the section. First we need a few technical lemmas. Let p denote the characteristic of k .

Lemma 13. *Let N be an integer divisible by p and by the index of R in \mathcal{O} . If \mathfrak{a} is any ideal of $R[1/N]$, there exists a $P \in G'_{\text{tors}}$ of order prime to N whose annihilator ideal in $R[1/N]$ is \mathfrak{a} .*

Proof. (John: Since $p|N$, should we rephrase as a Lemma for G_{tors} instead of G'_{tors} ?) From the ring decomposition $R = \mathbb{Z}[T]/m_G \cong \mathbb{Z}[T]/m_T \times \mathbb{Z}[T]/m_A$, we get corresponding splittings $G'_{\text{tors}} \cong T'_{\text{tors}} \times A'_{\text{tors}}$ and $\mathfrak{a} \cong \mathfrak{a}_T \times \mathfrak{a}_A$ that reduce the proof of the lemma to the cases where $G = T$ and $G = A$. The two cases are handled similarly, so we assume for sake of exposition that $G = A$, so now $R = \mathbb{Z}[T]/m_A$ and $\mathfrak{a} = \mathfrak{a}_A$. By the choice of N , $R[1/N]$ is a product of Dedekind domains $\mathcal{O}_i[1/N]$,

$1 \leq i \leq v$. If E is an $R[1/N]$ -module, we write E_i for the $\mathcal{O}_i[1/N]$ -component of E . Since G'_{tors} is an R -module, $G'_{\text{tors}} \otimes \mathbb{Z}[1/N]$, the torsion of G of order prime to N , is an $R[1/N]$ -module. It suffices to show for every $1 \leq i \leq v$ that there is a $P_i \in (G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i$ whose annihilator ideal in $\mathcal{O}_i[1/N]$ is \mathfrak{a}_i . If $Q_1, Q_2 \in (G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i$ have relatively prime annihilator ideals $\mathfrak{b}_1, \mathfrak{b}_2$ in $\mathcal{O}_i[1/N]$, then the annihilator ideal of $Q_1 + Q_2$ is $\mathfrak{b}_1 \mathfrak{b}_2$, so it suffices to show the result when \mathfrak{a}_i is \mathfrak{p}_i^ϵ for a prime ideal \mathfrak{p}_i of $\mathcal{O}_i[1/N]$ and $\epsilon \geq 1$. The result will hold in this case unless $(G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i[\mathfrak{p}_i^\epsilon] = (G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i[\mathfrak{p}_i^{\epsilon-1}]$, which would imply that $(G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i[\mathfrak{p}_i^\infty] = (G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i[\mathfrak{p}_i^{\epsilon-1}]$, which is absurd. Indeed, via (1), we get that $(G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i = \omega((\mathcal{A}_i^{d_i})'_{\text{tors}} \otimes \mathbb{Z}[1/N])$ (restricting ω to the i^{th} -factor), so $(G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i[\mathfrak{p}_i^\infty]$ is infinite, while $(G'_{\text{tors}} \otimes \mathbb{Z}[1/N])_i[\mathfrak{p}_i^{\epsilon-1}]$ is finite. \square

For $r \geq 1$, write $S(r) = \pi^{r-1} + \pi^{r-2} + \dots + \pi + 1$. Then $S(r)_i \in \mathcal{O}_i$ for all i .

Lemma 14. *There are infinitely many integers $r \geq 1$ such that $S(r)_i$ is relatively prime to N for all i .*

Proof. Since $\mathcal{O}/N\mathcal{O}$ is a finite ring, there exist s and $t \geq 1$ such that the images of $S(s+t)$ and $S(t)$ in $\mathcal{O}/N\mathcal{O}$ coincide. Since

$$S(s+t) = \pi^t S(s) + S(t), \quad (2)$$

we have $\pi^t S(s) \in N\mathcal{O}$, so $(\pi S(s))^t \in N\mathcal{O}$. But since $S(s+1) = \pi S(s) + 1$ we get that $(S(s+1) - 1)^t \in N\mathcal{O}$, so $S(s+1)_i$ is prime to N for all i . Setting $r = s+1$ and taking s as large as we please in (2) concludes the proof. \square

Let $[\cdot]$ denote the greatest integer function.

Lemma 15. *Let $r \geq 15$, and suppose that $\pi^c + \pi^d - 2\pi^e = \alpha(\pi^r - 1)$ for some $\alpha \in \mathcal{O}$ with $0 \leq c \leq r-1$, $0 \leq d, e \leq [r/2]$. Then $\alpha = 0$.*

Proof. Let λ be a complex root of $m_G(t)$. Since m_G is monic, λ is an algebraic integer and there is a unique \mathbb{Q} -algebra homomorphism $\sigma_\lambda : L \rightarrow \mathbb{C}$ such that $\sigma_\lambda(\pi) = \lambda$.

Suppose that $\alpha \neq 0$. Then there exists a root λ of $m_G(t)$ such that $\sigma_\lambda(\alpha) \neq 0$. Furthermore, since $\sigma_\lambda(\alpha)$ is an algebraic integer, we can choose λ in such a way that $|\sigma_\lambda(\alpha)| \geq 1$. Fix such a choice of λ , and if $\gamma \in \mathcal{O}$, we write $|\gamma|$ for $|\sigma_\lambda(\gamma)|$ to simplify notation. Writing $x = |\pi|$ we have:

$$x^r - 1 \leq |\pi^r - 1| \leq |\alpha(\pi^r - 1)| = |\pi^c + \pi^d - 2\pi^e| \leq x^c + x^d + 2x^e \leq x^{r-1} + 3x^{[r/2]}.$$

Since $x \geq \sqrt{2}$, a calculation shows $x^r > x^{r-1} + 4x^{[r/2]} > x^{r-1} + 3x^{[r/2]} + 1$ when $r \geq 15$, a contradiction. Thus $\alpha = 0$. \square

Proof of Theorem 12. Let $r \geq 15$ be one of the infinitely many integers specified in Lemma 14. By Lemma 13, there exists a $P \in G'_{\text{tors}}$ of order prime to N whose annihilator ideal in $R[1/N]$ is the ideal generated by $S(r)$. Furthermore, considering π as an endomorphism of G , it is not separable, so the degree of π is divisible by p , and therefore that of $1 - \pi$ is prime to p . It follows that $1 - \pi$ is a separable endomorphism of G and therefore that there exists $Q \in G_{\text{tors}}$ such that $(1 - \pi)Q = P$. If we write $Q = Q_p + Q'$, where Q_p is of p -power order and $Q' \in G'_{\text{tors}}$, then since $P \in G'_{\text{tors}}$, $(1 - \pi)Q_p = O$, so without loss of generality we can take $Q \in G'_{\text{tors}}$. We will show that given the hypotheses in the statement of the theorem, either Q is almost rational over k or G is of one of the types listed in Proposition 11.

Since $S(r)$ annihilates P , $\pi^r - 1$ annihilates Q , so since σ acts as multiplication by π , $\{\pi^a Q \mid 0 \leq a \leq r - 1\}$ contains a complete set of Γ_k -conjugates of Q . Thus it suffices to show that either G is of one of the exceptional types, or if $a, b \in \{0, 1, \dots, r - 1\}$ are such that $\pi^a Q + \pi^b Q = 2Q$, then $a = b = 0$. We consider two cases, according as to whether at least one of a or b is less than $\lceil r/2 \rceil$ or not.

Case 1. Suppose either a or $b \leq \lceil r/2 \rceil$. Since $\pi^a Q + \pi^b Q = 2Q$, we have $(\pi^a + \pi^b - 2)Q = O$ and so $(S(a) + S(b))P = O$. By hypothesis, there exists $\alpha \in \mathcal{O}[1/N]$ such that $S(a) + S(b) = \alpha S(r)$. Since $S(r)_i$ and N are relatively prime for all i , in fact $\alpha \in \mathcal{O}$. Therefore $\pi^a + \pi^b - 2 = \alpha(\pi^r - 1)$ with $\alpha \in \mathcal{O}$.

By Lemma 15, $\alpha = 0$, so we have $\pi^a + \pi^b = 2$. Hence by the definition of R , $m_G(t)$ divides $t^a + t^b - 2$ in $\mathbb{Z}[t]$. Suppose that $(a, b) \neq (0, 0)$. If one of a or b was zero the constant term of $m_G(t)$ would divide 1, which is impossible by the Riemann hypothesis for function fields. Now if $a \geq 1$ and $b \geq 1$, the constant coefficient of each irreducible factor of $m_G(t)$ must divide 2, so must be ± 1 or ± 2 . The possibility ± 1 is again excluded by the Riemann hypothesis. Hence $m_G(t)$ is irreducible, and this means that G is either a torus or an abelian variety. If G is a torus, then necessarily $m_G(t) = t \pm 2$, and $q = 2$. Since $t - 2$ cannot divide $t^a + t^b - 2$, we must have $m_G(t) = t + 2$, and G is isogenous to a power of M_2 . When G is an abelian variety, then either $q = 4$ and $m_G(t)$ is linear, or $m_G(t)$ is an irreducible quadratic and $q = 2$. In the former case, $m_G(t) = t + 2$. Over \mathbb{F}_4 , $\chi_{E_3}(t) = (t + 2)^2$ so $\chi_G(t) = (t + 2)^{2 \dim G} = \chi_{E_3^{\dim G}}(t)$, and G is \mathbb{F}_4 -isogenous to $E_3^{\dim G}$. In the latter case, the Riemann hypothesis show that $m_G(t) = t^2 + ct + 2$ with $|c| \leq 2$. Since $(a, b) \neq (0, 0)$, $t^2 - t + 2$ and $t^2 - 2t + 2$ do not divide $t^a + t^b - 2$, so G must be isogenous over \mathbb{F}_2 to a power of E_1 or E_2 or E_3 .

Case 2. Suppose that a and $b > \lceil r/2 \rceil$. Let $c = a + \lceil r/2 \rceil - r$, $d = b + \lceil r/2 \rceil - r$, so that $0 \leq c, d < \lceil r/2 \rceil$. Since $(\pi^a + \pi^b - 2)Q = O$ and $\pi^r Q = Q$, $(\pi^c + \pi^d - 2\pi^{\lceil r/2 \rceil})Q = O$ and, arguing as in Case 1, one sees that there exists a $\beta \in \mathcal{O}$ such that $\pi^c + \pi^d - 2\pi^{\lceil r/2 \rceil} = \beta(\pi^r - 1)$. Again

Lemma 15 shows that $\beta = 0$. As in Case 1, this implies that $m_G(t)$ divides $t^c + t^d - 2t^{\lceil r/2 \rceil}$ in $\mathbb{Z}[t]$. Since $c, d < \lceil r/2 \rceil$, this violates the Riemann hypothesis. \square

6. Abelian varieties over p -adic fields

We can now apply the results of the last section to study almost rational torsion points on an abelian variety A over a p -adic field K . Unlike the case of number fields, $A_{\text{tors},K}^{\text{ar}}$ can be finite or infinite. Let K^{ur} denote that maximal unramified extension of K . Let q be the number of elements in the residue field k of K .

Proposition 16. *Let E be the elliptic curve defined by $y^2 + y = x^3$ over \mathbb{Q}_2 . Then $E_{\text{tors},\mathbb{Q}_2}^{\text{ar}}$ is finite.*

Proof. Since E reduces mod 2 to a supersingular curve \tilde{E} , $\mathbb{Q}_2(E[2^\infty])/\mathbb{Q}_2$ is a totally ramified extension, so by the Néron-Ogg-Shafarevich criterion is linearly disjoint from $\mathbb{Q}_2(R)/\mathbb{Q}_2$ for any $R \in E_{\text{tors}}$ of odd order. Hence by Lemma 1, if we decompose any $P \in E_{\text{tors},\mathbb{Q}_2}^{\text{ar}}$ as $P_2 + P'$, where P_2 is of 2-power order and P' is of odd order, then $P_2, P' \in E_{\text{tors},\mathbb{Q}_2}^{\text{ar}}$. By Proposition 2, there are only finitely many such P_2 . Now $\text{Gal}(\mathbb{Q}_2^{\text{ur}}/\mathbb{Q}_2)$ and $\Gamma_{\mathbb{F}_2}$ are isomorphic, so again by the Néron-Ogg-Shafarevich criterion, reduction modulo 2 puts points of $E_{\text{tors},\mathbb{Q}_2}^{\text{ar}}$ of odd order in one-to-one correspondence with $\tilde{E}_{\text{tors},\mathbb{F}_2}^{\text{ar}}$. Since \tilde{E} is what we called E_3 in Proposition 11, there are only finitely many such P' as well. \square

Theorem 17. *For any abelian variety A of dimension g over the p -adic field K , there is a finite extension L of K of degree bounded only in terms of g , such that A has infinitely many almost rational torsion points over L .*

Proof. By Raynaud's criterion for semistable reduction (SGA 7, expose IX, Proposition 4.7, see [25] for more recent results), replacing K by $K(A[12])$ if necessary, we can assume that A has semistable reduction, so the connected component A_0 of the special fibre of the Néron model of A is a semi-abelian variety over k . Likewise, replacing K by its unramified extension of degree 2 or 3 if necessary, we can assume that $q \neq 2, 4$. Theorem 12 shows that $(A_0)_{\text{tors},k}^{\text{ar},'}$ is infinite. Let $A'_{\text{tors}}(K^{\text{ur}})$ denote the torsion points of A of order prime to q defined over K^{ur} . Proposition 3 on page 179 of [3] shows that the reduction map $\rho : A'_{\text{tors}}(K^{\text{ur}}) \rightarrow (A_0)'_{\text{tors}}$ is a bijection. Again, since $\text{Gal}(K^{\text{ur}}/K)$ and Γ_k are isomorphic, ρ restricts to a bijection between $A_{\text{tors},K}^{\text{ar}} \cap A'_{\text{tors}}(K^{\text{ur}})$ and $(A_0)_{\text{tors},k}^{\text{ar},'}$, which is all we need. \square

Remark. As in Proposition 16, if M is the torus over \mathbb{Q}_2 which is the non-trivial twist of \mathbb{G}_m over the unramified quadratic extension of \mathbb{Q}_2 , then

$M_{\text{tors}, \mathbb{Q}_2}^{\text{ar}}$ is finite. In addition, an argument similar to that in Theorem 17 shows that for any torus M over a p -adic field K that is split over an unramified extension of K , that replacing K by its unramified quadratic extension if necessary, M has infinitely many almost rational torsion points over K .

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